

# Polynomial systems, sparsity, and applications

**Matías R. Bender**

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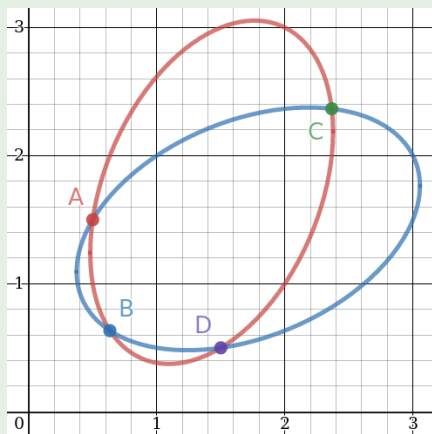
## Short CV

- Since 2019, PostDoc at Institut für Mathematik, TU Berlin.  
(Mentor: P. Bürgisser)
- In 2019: PhD in Informatics from Sorbonne Université.  
(Advisors: J.-C. Faugère & E. Tsigaridas)
- In 2015, Bsc+Msc in Computer Science from Univ. de Buenos Aires.

I work in computer algebra. I focus on

## Solving structured polynomial systems

- Solving polynomial systems of degree at most  $d$  in  $\mathbb{C}[x_1, \dots, x_n]$ .



$$\begin{cases} 2x^2 - xy + y^2 - 4x - 2y + 3 = 0 \\ x^2 - xy + 2y^2 - 2x - 4y + 3 = 0 \end{cases}$$

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## Applications

Tensor decomposition, Topological data analysis, Computational biology.

# Gröbner basics

- $\mathbb{C}[\mathbf{x}] = \mathbb{C}[x_1, \dots, x_n]$ , polynomial ring in  $n$  indeterminates over  $\mathbb{C}$ .
- Polynomial  $\rightarrow \sum_{\alpha} c_{\alpha} \mathbf{x}^{\alpha} \in \mathbb{C}[\mathbf{x}]$ .
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- Gröbner basis (GB) of  $\langle f_1, \dots, f_r \rangle := \{ \sum_{i=1}^r h_i f_i : h_i \in \mathbb{C}[\mathbf{x}] \}$   
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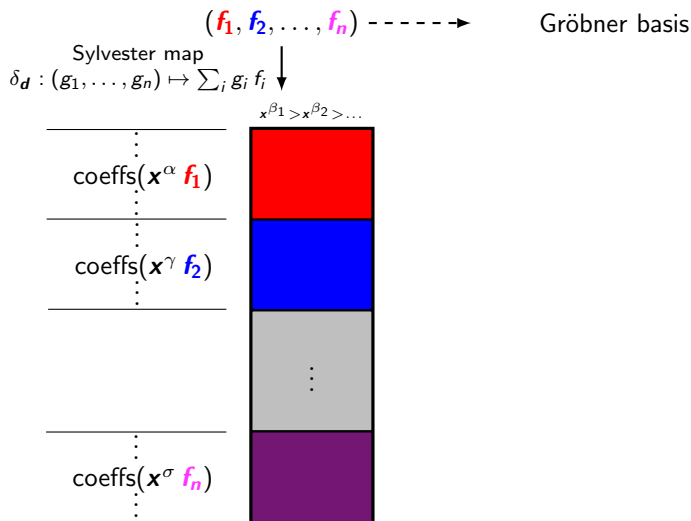
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  - Solve:  $\{ p \in \mathbb{C}^n : f_1(p) = \dots = f_r(p) = 0 \}$ .
  - Compute other algebraic and geometric invariants.



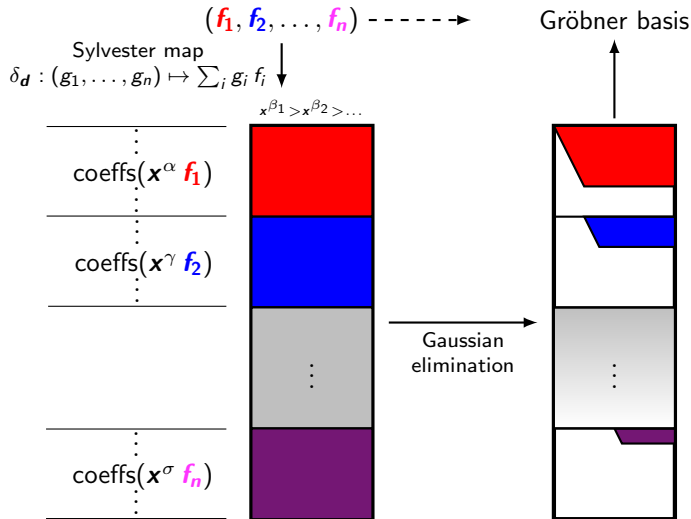
# Computing Gröbner bases - [Lazard '83]

$(f_1, f_2, \dots, f_n) \dashrightarrow$  Gröbner basis

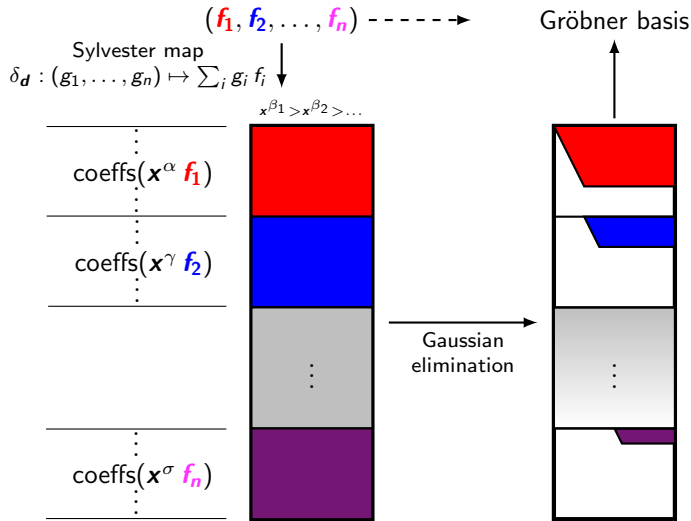
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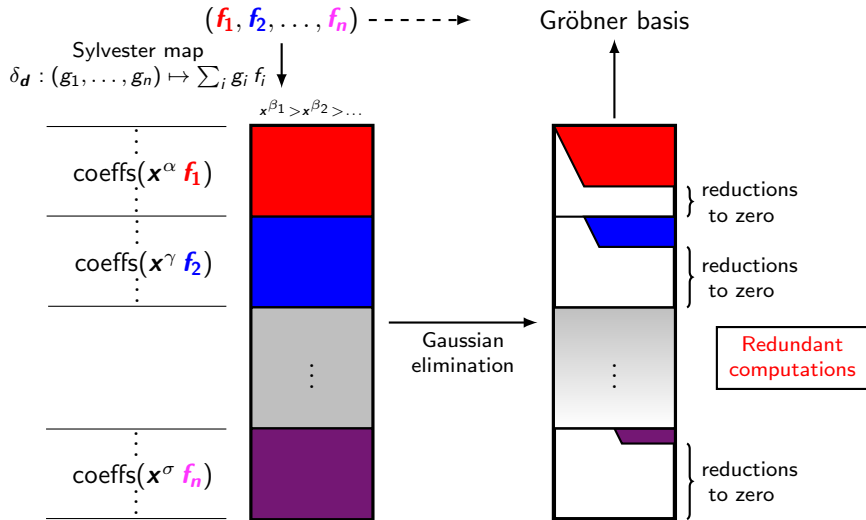


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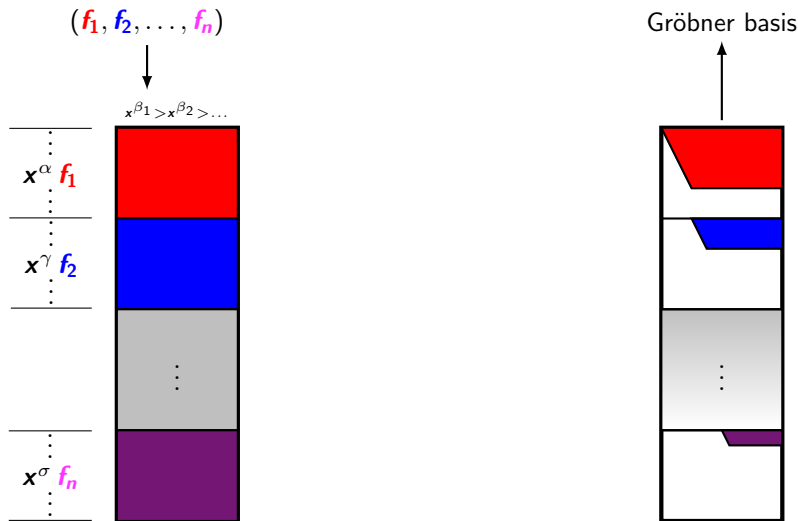
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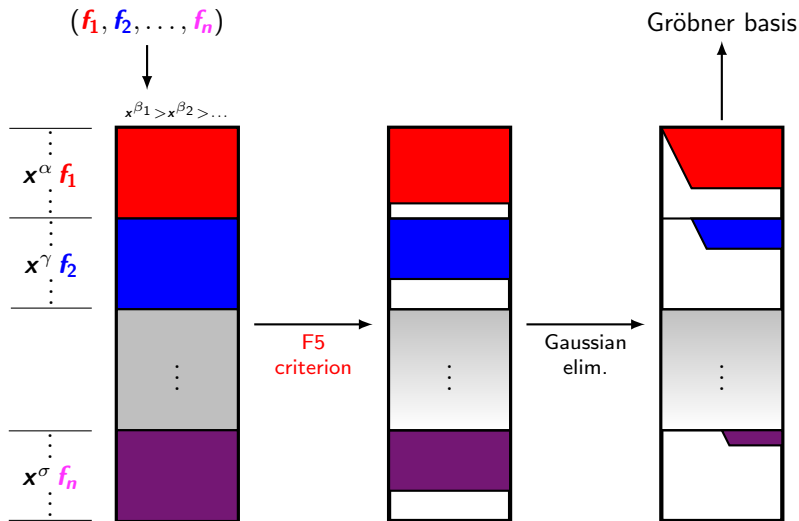
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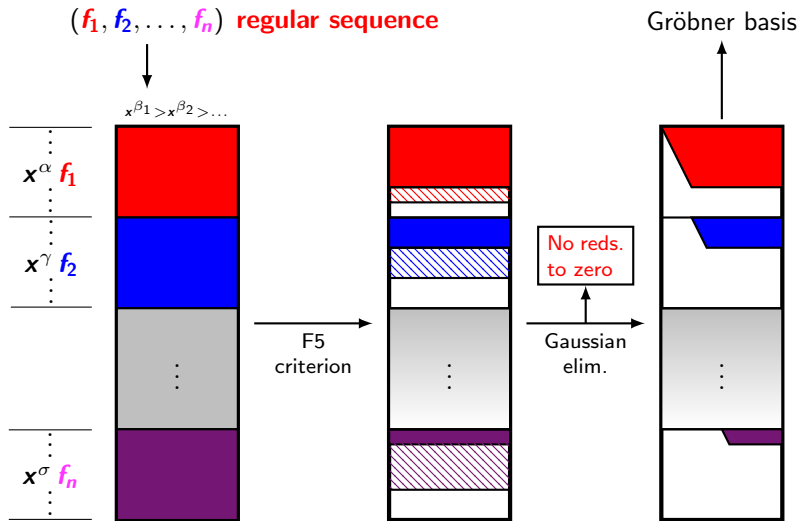
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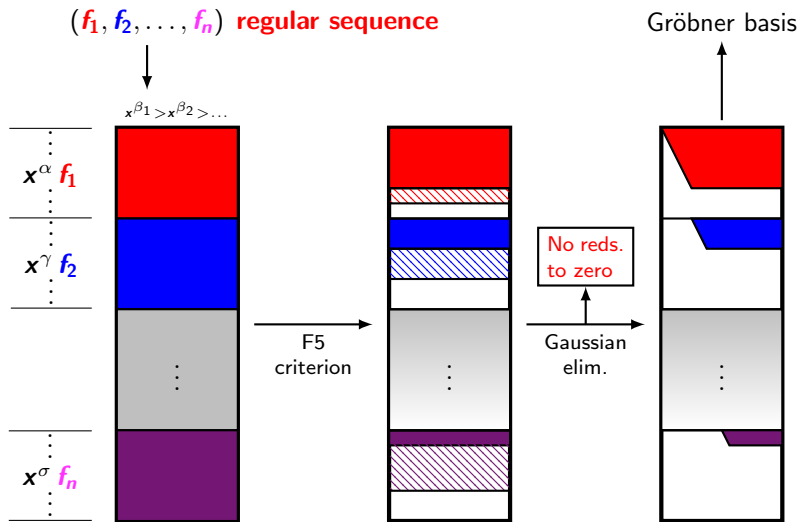
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Complexity  $\leftrightarrow$  Size of matrix  $\rightarrow$  Degree  $d$  depends on the system  $(f_1, \dots, f_n)$   
 $\rightarrow$  **Macaulay bound**:  $d \leq \sum_i \deg(f_i) - n + 1$

# The resultant and Sylvester-type formulas

## Projective resultant

Necessary and sufficient condition for a homogeneous system in  $(f_0, \dots, f_n) \in \mathbb{C}[x_0, \dots, x_n]^{n+1}$  to have solutions in  $\mathbb{P}^n$ .

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## Example : Resultant of linear forms = Determinant

$$\begin{cases} \mathbf{a}_1 x + \mathbf{a}_2 y + \mathbf{a}_3 z = 0 \\ \mathbf{b}_1 x + \mathbf{b}_2 y + \mathbf{b}_3 z = 0 \\ \mathbf{c}_1 x + \mathbf{c}_2 y + \mathbf{c}_3 z = 0 \end{cases} \text{ has a solution over } \mathbb{P}^2$$

$\Leftrightarrow$

$$\det \begin{pmatrix} \mathbf{a}_1 & \mathbf{a}_2 & \mathbf{a}_3 \\ \mathbf{b}_1 & \mathbf{b}_2 & \mathbf{b}_3 \\ \mathbf{c}_1 & \mathbf{c}_2 & \mathbf{c}_3 \end{pmatrix} = 0.$$

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Classical way of computing resultant  $\rightarrow$  **Sylvester-type formula**

$$(g_0, \dots, g_n) \mapsto \sum_{i=0}^n g_i f_i$$

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## Macaulay resultant matrix

[Macaulay, 1916]

		$x^2$	$xy$	$xz$	$y^2$	$yz$	$z^2$
$f_1 := a_1 x^2 + a_2 xy + a_3 xz + a_4 y^2 + a_5 yz + a_6 z^2$	$f_1$	$a_1$	$a_2$	$a_3$	$a_4$	$a_5$	$a_6$
	$x f_2$	$b_1$	$b_2$	$b_3$			
$f_2 := b_1 x + b_2 y + b_3 z$	$y f_2$		$b_1$		$b_2$	$b_3$	
	$z f_2$			$b_1$		$b_2$	$b_2$
$f_3 := c_1 x + c_2 y + c_3 z$	$y f_3$		$c_1$		$c_2$	$c_3$	
	$z f_3$			$c_1$		$c_2$	$c_3$

Determinant = Resultant  $\cdot$  ExtraFactor.

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$y f_2 := b_1 x + b_2 y + b_3 z$		$b_1$		$b_2$	$b_3$	
$z f_2 := b_1 x + b_2 y + b_3 z$			$b_1$	$b_2$	$b_3$	$b_2$
$y f_3 := c_1 x + c_2 y + c_3 z$		$c_1$		$c_2$	$c_3$	
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**Determinantal formula**  $\rightarrow$  ExtraFactor is a constant.

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- We want to compute the two solutions  $\alpha, \beta \in \mathbb{C}^2$  of  $(f_1, f_2)$

$$\begin{cases} f_1 := 1x^2 + -1xy + 4x + -2y^2 + -5y + 3 \\ f_2 := 1x + -1y + -1 \end{cases} .$$

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- Introduce  $f_3 := -1x + 2y + 1$  and consider a Sylvester-type formula.

$$\left( \begin{array}{c|c} M_{1,1} & M_{1,2} \\ \hline M_{2,1} & M_{2,2} \end{array} \right) = \begin{array}{c|cccc|cc} & x^2 & xy & x & y^2 & y & 1 \\ \hline f_1 & 1 & -1 & 4 & -2 & -5 & 3 \\ x f_2 & 1 & -1 & -1 & & & \\ y f_2 & & 1 & & -1 & -1 & \\ f_2 & & & 1 & & -1 & -1 \\ \hline y f_3 & & -1 & & 2 & 1 & \\ f_3 & & & -1 & & 2 & 1 \end{array}$$



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- Schur complement** of  $M_{2,2} \leftrightarrow$  Multiplication map of  $f_3$  in  $\mathbb{C}[x, y]/\langle f_1, f_2 \rangle$

$$\tilde{M}_{2,2} = M_{2,2} - M_{2,1} M_{1,1}^{-1} M_{1,2} = \begin{pmatrix} 0 & 4 \\ 1 & 0 \end{pmatrix}$$

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- **Eigenvalues** of  $\tilde{M}_{2,2}$  [Lazard, 1981]

$$f_3(\alpha) = 2 \quad \text{and} \quad f_3(\beta) = -2.$$

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- Schur complement of  $M_{2,2} \leftrightarrow$  Multiplication map of  $f_3$  in  $\mathbb{C}[x, y]/\langle f_1, f_2 \rangle$

$$\tilde{M}_{2,2} = M_{2,2} - M_{2,1} M_{1,1}^{-1} M_{1,2} = \begin{pmatrix} 0 & 4 \\ 1 & 0 \end{pmatrix}$$

- Eigenvalues of  $\tilde{M}_{2,2} \leftrightarrow f_3(\alpha) = 2$  and  $f_3(\beta) = -2$ . [Lazard, 1981]
- **Eigenvectors** of  $\tilde{M}_{2,2}$  [Auzinger & Stetter, 1988]

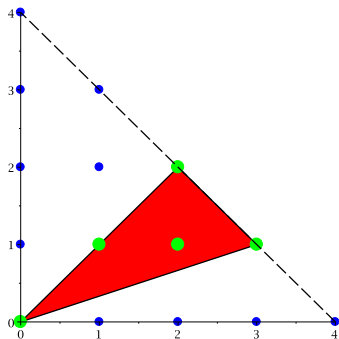
$$\begin{pmatrix} \alpha_y \\ 1 \end{pmatrix} = \begin{pmatrix} 2 \\ 1 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} \beta_y \\ 1 \end{pmatrix} = \begin{pmatrix} -2 \\ 1 \end{pmatrix}$$

# Sparse polynomials / systems

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- **Newton polytope** of  $f = \sum_{\alpha} c_{\alpha} \mathbf{x}^{\alpha} \rightarrow$  Convex hull of  $\{\alpha : c_{\alpha} \neq 0\}$ .
- Sparse polynomial  $\rightarrow$  Its Newton polytope is “small”.

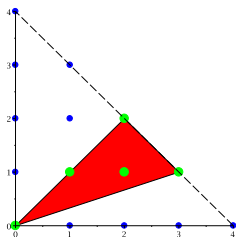
$$1 + xy + x^2y + x^2y^2 + x^3y = \mathbf{1} + \mathbf{0} \cdot x + \mathbf{0} \cdot y + \mathbf{0} \cdot x^2 + \mathbf{xy} + \mathbf{0} \cdot y^2 + \mathbf{0} \cdot x^3 + \mathbf{x^2y} + \mathbf{0} \cdot xy^2 + \mathbf{0} \cdot y^3 + \mathbf{0} \cdot x^4 + \mathbf{x^3y} + \mathbf{x^2y^2} + \mathbf{0} \cdot xy^3 + \mathbf{0} \cdot y^4$$



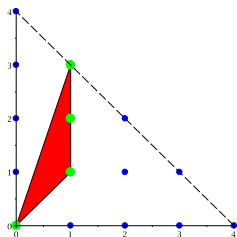
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- Sparse system  $\rightarrow$  system of sparse polynomials

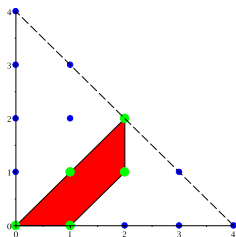
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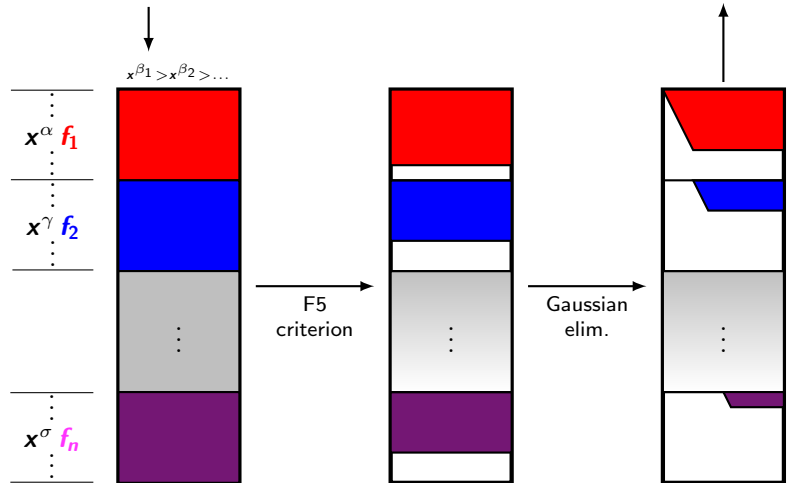
# Gröbner bases for sparse systems

Joint work with Jean-Charles Faugère & Elias Tsigaridas.



# Computing Gröbner bases for sparse systems

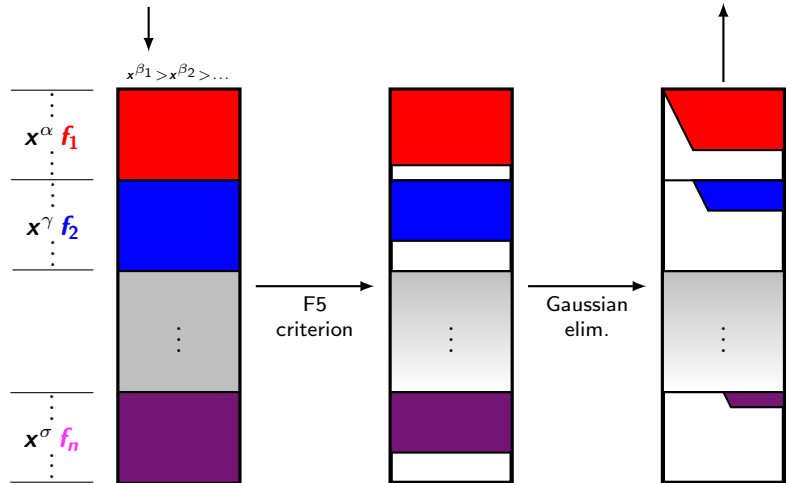
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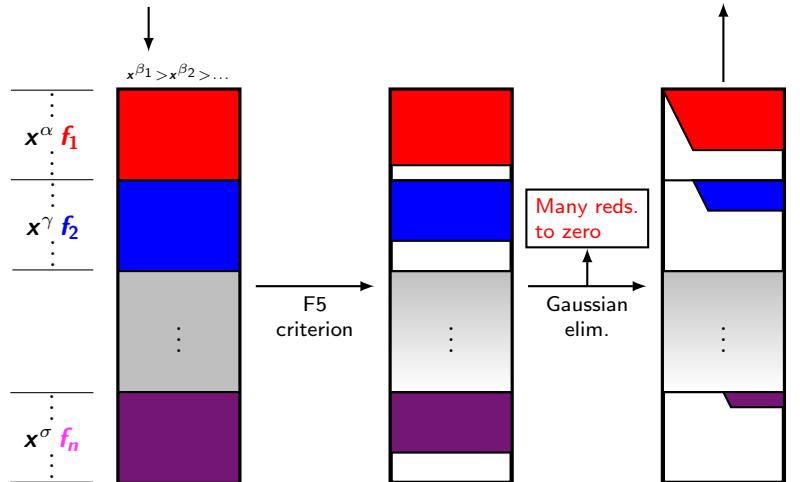
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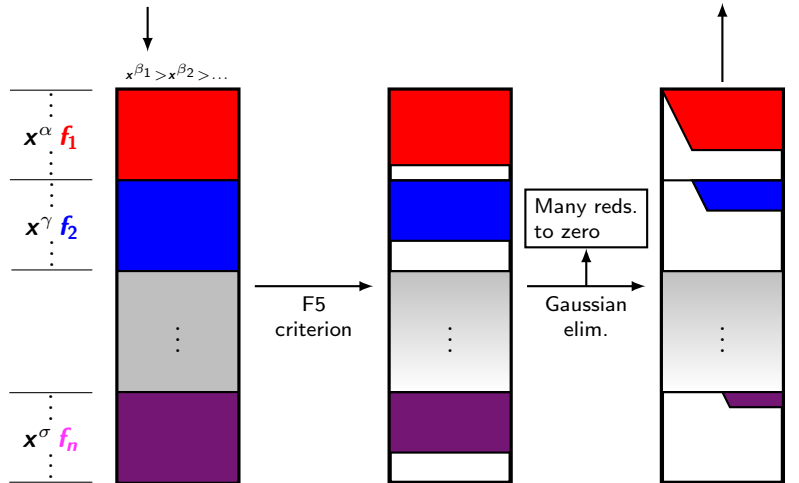
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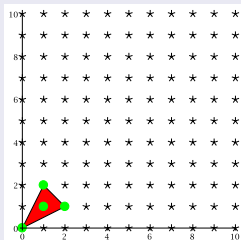
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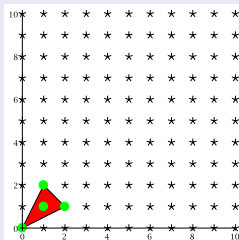
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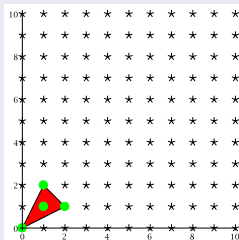
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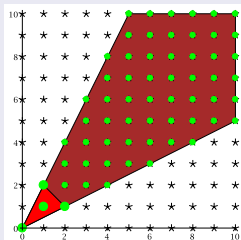


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$$1 + xy + x^2y + xy^2 \in \mathbb{C}[xy, x^2y, xy^2] \subset \mathbb{C}[x, y]$$

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[BFT18], [BFT19]

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# Solving numerically sparse systems

Joint work with Simon Telen.

# Symbolic-numerical approach to solve sparse systems

In applications  $\rightarrow$  sparse polynomials with noisy coefficients, and  
we need to approximate solutions.

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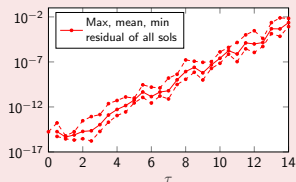
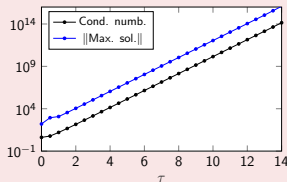
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In degenerate situations (e.g. 'sols. going to  $\infty$ ')  $\rightarrow$  Sparse GBs or resultants  
 $\rightarrow$  large numerical errors (for every solution!).

## Example

$$\begin{cases} f_1 := -1 + x + x^2 + y + xy, \\ f_2 := -1 + x + \left(\frac{5}{2} - 10^{-\tau}\right)x^2 + 2y + \frac{5}{2}xy. \end{cases}$$



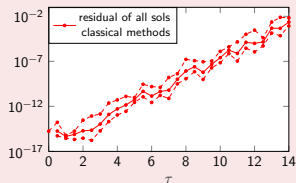
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$$f_1 := 1 - x - x^2 - y - xy,$$

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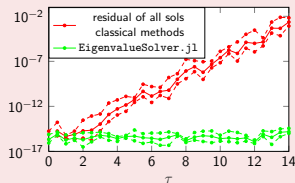
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- Homogenize polynomials over Cox ring  
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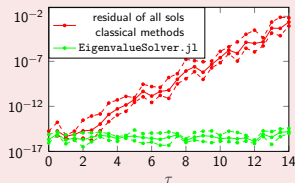
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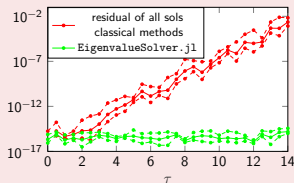
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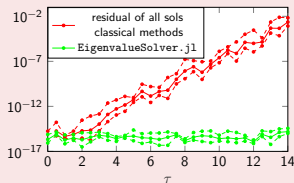
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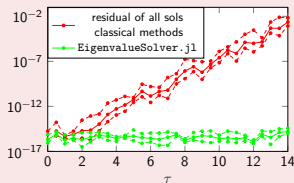
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# Determinantal formulas for mixed multilinear systems

Joint work with J.-C. Faugère, A. Mantzaflaris & E. Tsigaridas.

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## Generalization of solving strategy for Sylvester maps [BFMT18]

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# Determinantal formulas for mixed multilinear systems

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Generalized Eigenvalue Problem

$$\left( \begin{array}{c} \begin{bmatrix} -7 & -3 \\ -8 & -2 \end{bmatrix} - \lambda \begin{bmatrix} 12 & 2 \\ 13 & 1 \end{bmatrix} \end{array} \right) \begin{bmatrix} v_0 \\ v_1 \end{bmatrix} = 0$$

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*(ACM's SIGSAM Distinguished Student Author Award at ISSAC 2016)*

- Symmetric tensor decomposition of binary forms:

$$-4704x^3 + 3576x^2y - 912xy^2 + 78y^3 = \frac{1}{6}(-26x + 7y)^3 - \frac{1}{6}(22x - 5y)^3$$

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## Some possible collaborations

- In polynomial optimization → There other notions of sparsity.  
→ Solving techniques over  $\mathbb{R}$ .
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**Thank you!**

[mbender.github.io](https://github.com/mbender)