

A dynamic input allocation paradigm illustrated by applications

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Outline

- 1 Linear results
- 2 FTU elongation control
- 3 Adding actuator dynamics
- 4 JET Current Limit Avoidance

Weak and strong input redundancy in linear plants

▷ A linear plant with *weak* or *strong* input redundancy

- **Weak:** means that equilibria can be induced by different input patterns
- **Strong:** means that transients can be induced by different input patterns

$$\begin{aligned}\dot{x} &= Ax + Bu + B_d d \\ y &= Cx + Du + D_d d,\end{aligned}$$

Def'n: A plant is input-redundant if one of the following two conditions is satisfied

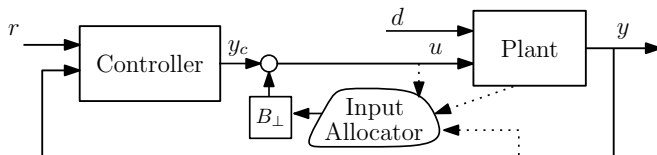
- it is *strongly input-redundant* from u if it satisfies $\text{Ker} \left(\begin{bmatrix} B \\ D \end{bmatrix} \right) \neq \emptyset$;
denote

$$B_{\perp} \text{ such that } \text{Im}(B_{\perp}) = \text{Ker} \left(\begin{bmatrix} B \\ D \end{bmatrix} \right);$$

- it is *weakly input-redundant* from u to y if $P^* := \lim_{s \rightarrow 0} (C(sI - A)^{-1}B + D)$ is finite and satisfies $\text{Ker}(P^*) \neq \emptyset$;
denote

$$B_{\perp} \text{ such that } \text{Im}(B_{\perp}) = \text{Ker}(P^*).$$

Allocator dynamics may only act in the B_{\perp} directions

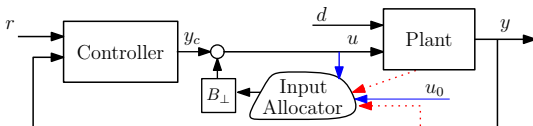


- Assume that a controller **has been designed** disregarding input redundancy to obtain a **desirable plant output response** y

$$\begin{aligned}\dot{x}_c &= A_c x_c + B_c y + B_r r \\ y_c &= C_c x_c + D_c y + D_r r,\end{aligned}$$

- Design an input allocator which
- exploits strong redundancy to get *fast reallocation* during transients
 - exploits weak redundancy to get *slow reallocation* at the steady-state
- The allocator measures controller output y_c and adds compensating signal
- Choose that signal as $B_{\perp} w$ for some w
 - Pick w as the output of a pool of integrators (dynamic solution)

Linear dynamic allocation minimizes $J = (u - u_0)^T \bar{W} (u - u_0)$



▷ Linear solution only relying on the knowledge of the controller output y_c

$$\begin{aligned}\dot{w} &= -2\rho K B_{\perp}^T \bar{W} (u - u_0) = -\rho K B_{\perp}^T \nabla J \\ u &= y_c + B_{\perp} w,\end{aligned}$$

Th'm: With *strong redundancy*, if $K > 0$ and $B_{\perp}^T \bar{W} B_{\perp} > 0$ then internal stability and output response y unaffected by allocator

Th'm: With *weak redundancy*, if $K > 0$ and $B_{\perp}^T \bar{W} B_{\perp} > 0$ then internal stability and *steady-state* output response y unaffected by allocator for small enough ρ

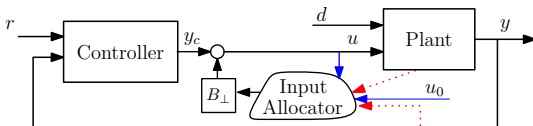
▷ Role of \bar{W} : assign the steady-state plant input, solution to:

$$\min_w J(u) := (u - u_0)^T \bar{W} (u - u_0), \quad \text{subject to: } u = y_c^* + B_{\perp} w,$$

corresponding to $u^* = u_0 + (I - B_{\perp} (B_{\perp}^T \bar{W} B_{\perp})^{-1} B_{\perp}^T \bar{W}) y_c^*$.

▷ u_0 is a useful drift term (e.g., center of saturation range)

Linear dynamic allocation minimizes $J = (u - u_0)^T \bar{W} (u - u_0)$



- ▷ Linear solution only relying on the knowledge of the controller output y_c

$$\begin{aligned}\dot{w} &= -2\rho K B_{\perp}^T \bar{W} (u - u_0) = -\rho K B_{\perp}^T \nabla J \\ u &= y_c + B_{\perp} w,\end{aligned}$$

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- ▷ Role of K diagonal: promote/penalize different redundant directions while not affecting the steady-state input:

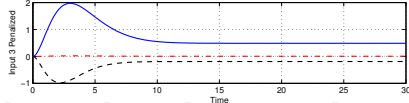
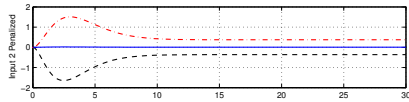
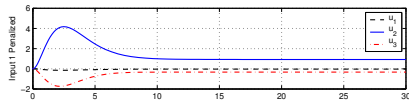
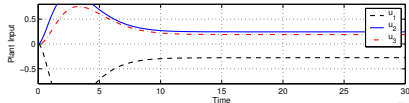
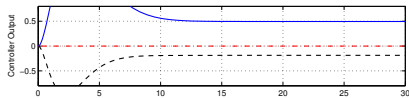
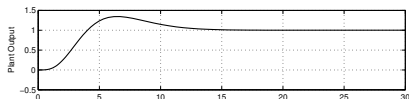
$$u^* = u_0 + (I - B_{\perp} (B_{\perp}^T \bar{W} B_{\perp})^{-1} B_{\perp}^T \bar{W}) y_c^*$$

- ▷ Role of $\rho \in \mathbb{R}_{>0}$ is to assign any (arbitrarily fast or slow) allocation speed

Randomly generated academic example (strong)

▷ Plant is strongly input redundant (one direction), controller is LQG

$$\left[\begin{array}{c|c} A & B \\ \hline C & D \end{array} \right] = \left[\begin{array}{cc|ccc} -0.157 & -0.094 & 0.87 & 0.253 & 0.743 \\ -0.416 & -0.45 & 0.39 & 0.354 & 0.65 \\ \hline 0 & 1 & 0 & 0 & 0 \end{array} \right].$$



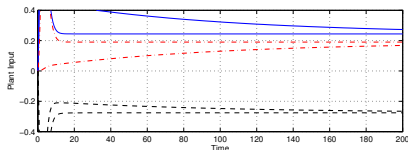
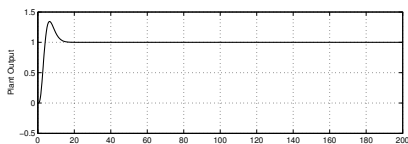
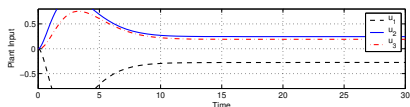
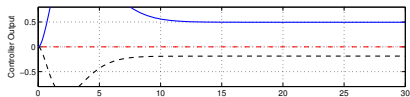
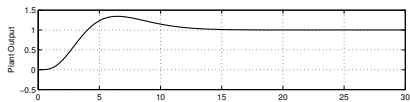
$$K = 10I \text{ and } \bar{W} = I$$

$$\bar{W} = \begin{bmatrix} 100 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \bar{W} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 100 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \text{ and } \bar{W} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 100 \end{bmatrix}$$

Randomly generated academic example (strong)

▷ Plant is strongly input redundant (one direction), controller is LQG

$$\left[\begin{array}{c|c} A & B \\ \hline C & D \end{array} \right] = \left[\begin{array}{cc|ccc} -0.157 & -0.094 & 0.87 & 0.253 & 0.743 \\ -0.416 & -0.45 & 0.39 & 0.354 & 0.65 \\ \hline 0 & 1 & 0 & 0 & 0 \end{array} \right].$$



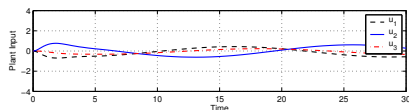
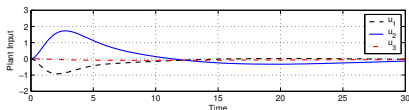
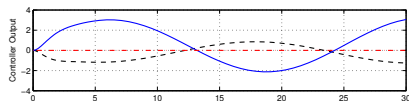
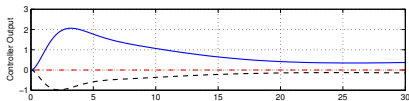
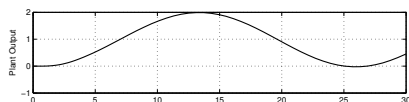
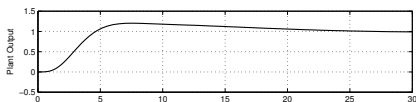
$K = 10I$ and $\bar{W} = I$

$K = 10$ (solid) and $K = 0.01$ (dash-dotted)

Randomly generated academic example (weak)

- ▷ Plant is **weakly input redundant (two directions)**, controller is LQG

$$\left[\begin{array}{c|c} A & B \\ \hline C & D \end{array} \right] = \left[\begin{array}{cc|ccc} -0.157 & -0.094 & 0.87 & 0.253 & 0.743 \\ -0.416 & -0.45 & 0.39 & 0.354 & 0.65 \\ \hline 0 & 1 & 0 & 0 & 0 \end{array} \right].$$



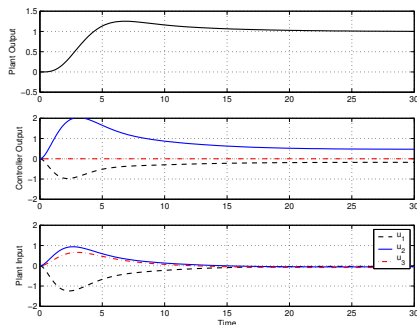
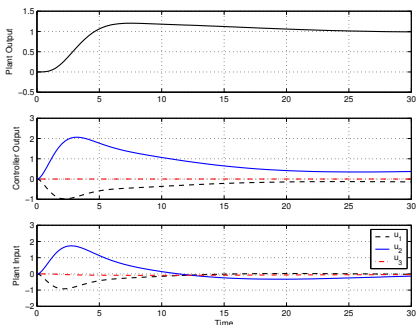
$\rho K = 0.1$ and $\bar{W} = I$: OK!

$\rho K = 1$ and $\bar{W} = I$: unstable!

Randomly generated academic example (weak)

- ▷ Plant is **weakly input redundant (two directions)**, controller is LQG

$$\left[\begin{array}{c|c} A & B \\ \hline C & D \end{array} \right] = \left[\begin{array}{cc|ccc} -0.157 & -0.094 & 0.87 & 0.253 & 0.743 \\ -0.416 & -0.45 & 0.39 & 0.354 & 0.65 \\ \hline 0 & 1 & 0 & 0 & 0 \end{array} \right].$$



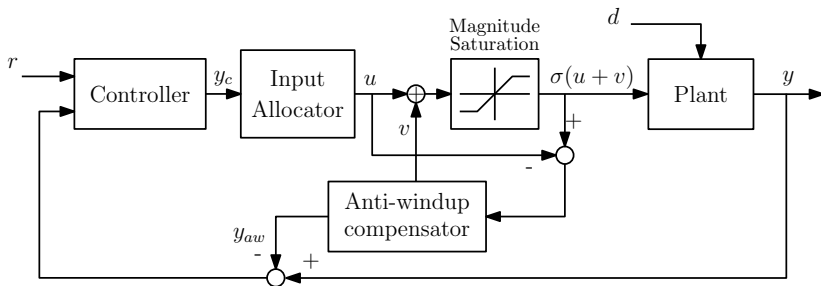
$\rho K = 0.1I$ and $\bar{W} = I$: OK!

$\rho K = \begin{bmatrix} 100 & 0 \\ 0 & 0.1 \end{bmatrix}$ and $\bar{W} = I$: Better!

Nonlinear allocation with magnitude saturation

- ▷ Select nonlinear $W(\cdot)$ to increasingly penalize each actuator as it approaches its magnitude saturation limit M

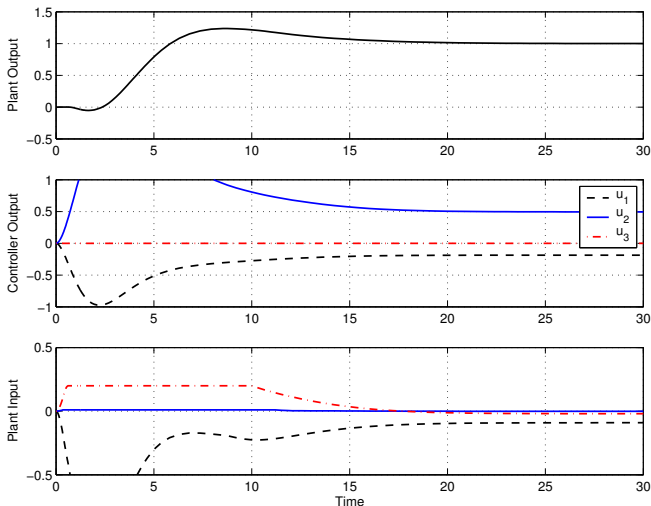
$$W(u) = (\text{diag}((1 + \epsilon)M - \text{abs}(\text{sat}_M(u))))^{-1}$$



- ▷ **Interpretation:** *anti-windup* deals with saturation during transients; *dynamic allocation* avoids saturation at the steady-state

Example 1 (revisited with magnitude saturation)

▷ Input usage after allocation [9.5 3.37 7]% (note $u_2^* \approx 0.5 \gg m_2 = 0.01$)

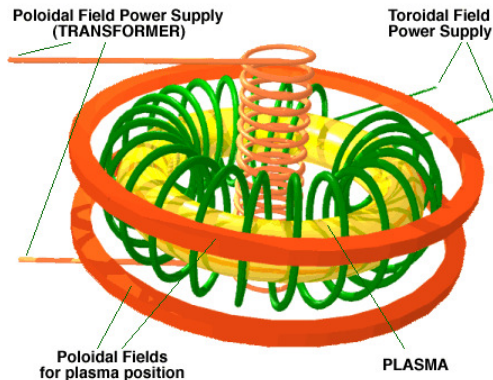


Outline

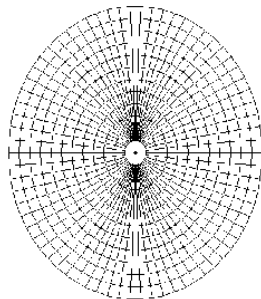
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Application: plasma position and elongation control

- ▷ Frascati Tokamak Upgrade (FTU): a nuclear fusion experiment



Coils and toroidal plasma

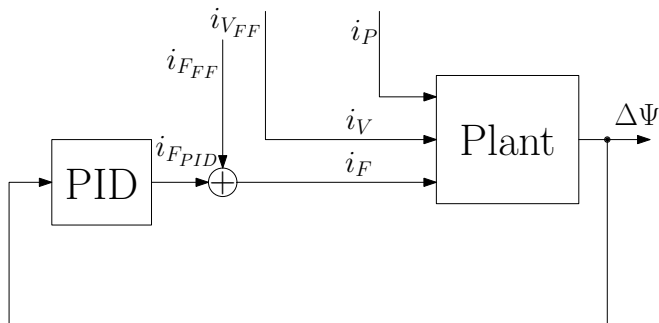


Cross section

- ▷ Poloidal field coils regulate plasma position and elongation

Current FTU horizontal position regulation

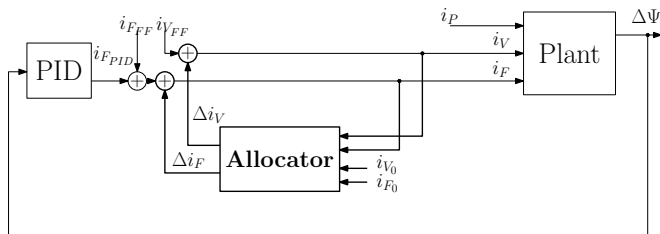
- ▷ Frascati Tokamak Upgrade: $\Delta\Psi$ = plasma horiz. position, i_P = plasma current



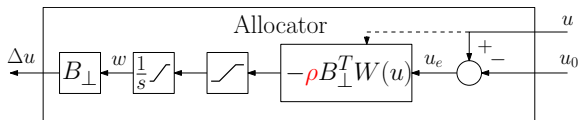
- ▷ Tools: V coil: very slow and powerful; F coil: fast and squeezes the plasma
- ▷ Goal: Want to use the F coil to perform two actions:
- high bandwidth disturbance rejection on $\Delta\Psi$ ($= y$)
 - low bandwidth elongation, equivalently, i_F ($= u_2$) regulation

Solution with allocator uses weak redundancy

- ▷ Transfer (slowly) control authority from F to V using dynamic allocation



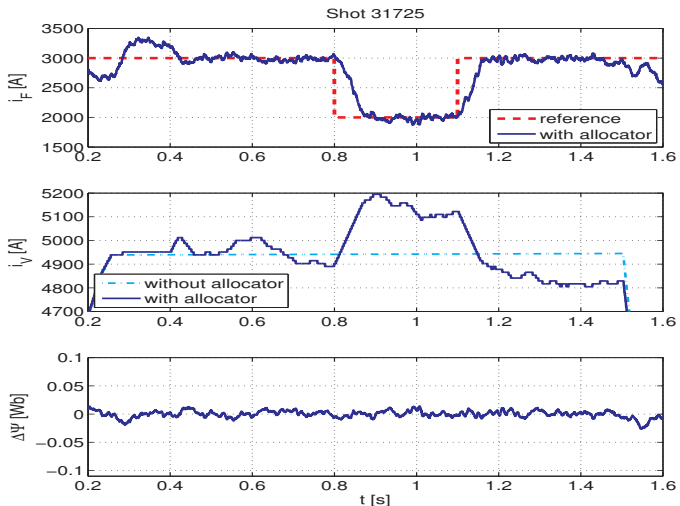
- ▷ Zoom of the allocator block (note the drift term $u_0 = u_r$ which is now a reference signal for i_F)



Th'm: With *weak redundancy*, if $K > 0$ then internal stability and *steady-state* output response $y = \Delta\Psi$ unaffected by allocator for small enough ρ

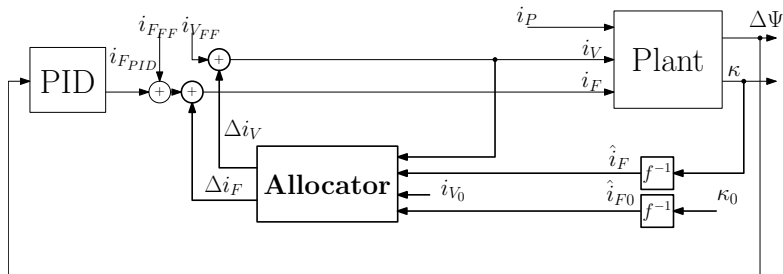
Experiments: F current regulation

▷ i_F current is slowly regulated without affecting plant output $y = \Delta\Psi$



From current regulation to elongation regulation

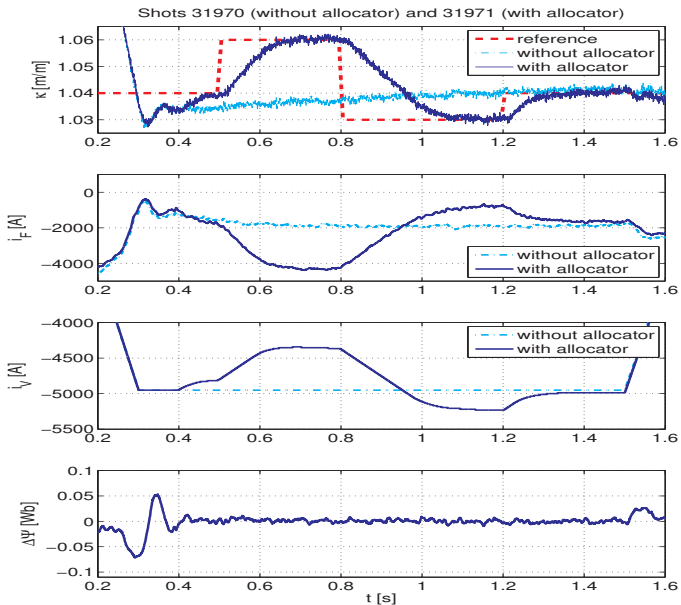
- ▷ An approximately known nonlinear static map f relates I_F to the elongation κ



- ▷ Invert the map f to perform feedback elongation regulation via allocation
- ▷ Experiments confirm that the scheme works only if ρ is sufficiently slow

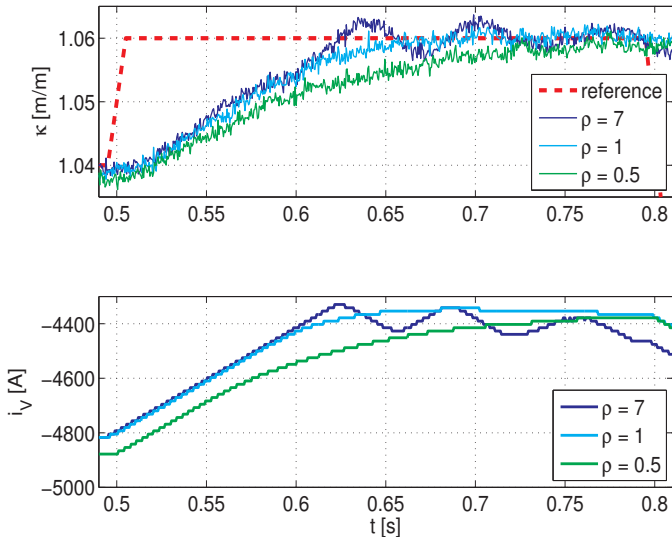
Th'm: With *weak redundancy*, if $K > 0$ and map f is invertible, then internal stability and *steady-state* output response $y = \Delta \Psi$ unaffected by allocator for small enough ρ + elongation regulation $\kappa \rightarrow \kappa_0$.

Experiments: Elongation regulation



Experiments: loss of stability if parameter ρ too large

Experiments with different values of ρ
(Shots 31937, 31971, 31975)

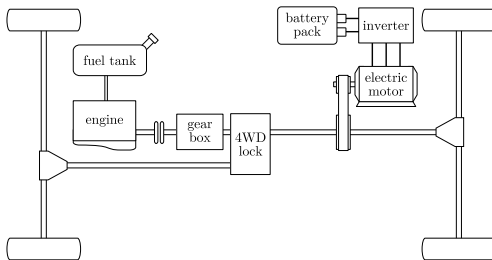


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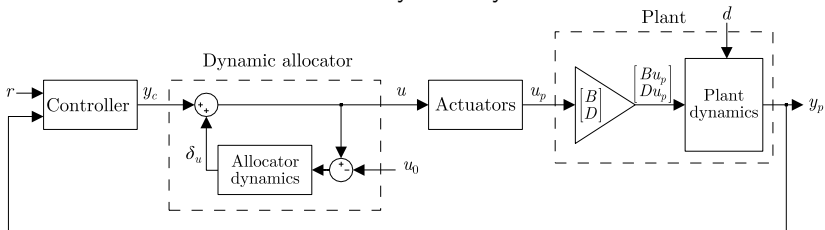
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Hybrid Electric Vehicle has ICE and EM actuators

- ▷ A prototype built at the “University of Rome, Tor Vergata”



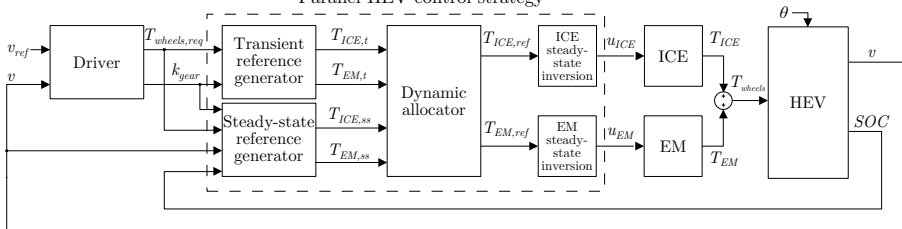
- ▷ Extension of framework: redundancy after dynamic actuators



Hybrid Electric Vehicle has ICE and EM actuators

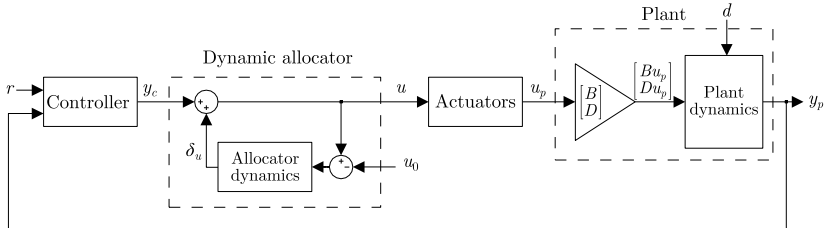
▷ Redundancy: net torque = ICE torque + EM torque

Parallel HEV control strategy



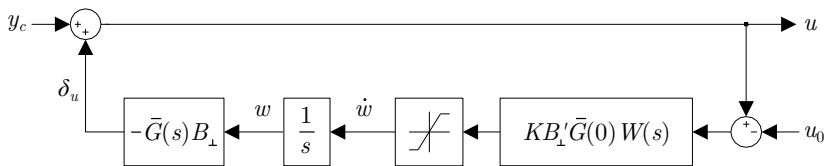
▷ Dynamic allocator inputs:

- y_c represents the transient torque request (non-optimized),
- u_0 represents the steady-state torque allocation (energy efficient)



Dynamic allocation uses LCM of actuators dynamics

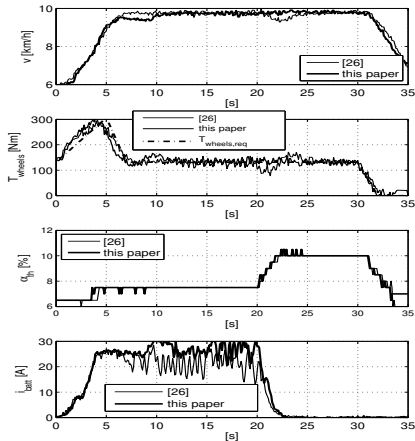
- ▷ Allocator dynamics $\bar{G}(s)$, $W(s)$ designed following a systematic procedure



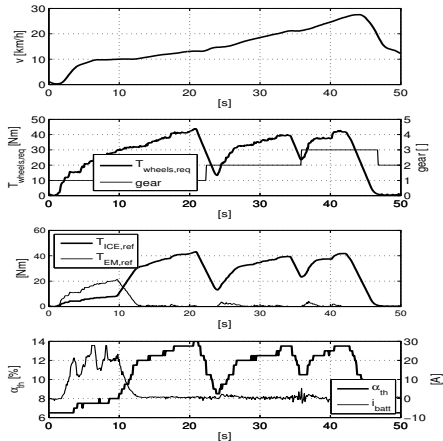
- ▷ Slow variation of the injected signals ensured by the presence of saturation
- ▷ Main result proven using saturated systems techniques

Th'm: If the actuator parameters are designed following the procedure, the **transient response** given by the controller is not modified by the allocator, and the **steady-state torque allocation** u_0 is asymptotically obtained.

Experimental response on the prototype car

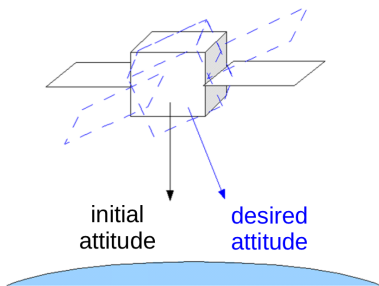


Torque regulation. Steady-state reference u_0 changes at $t = 20$ s



Human driver in the loop.
Reference u_0 changes at $t = 10$ s

Attitude control with reaction wheels and magnetorquers



- Plant dynamics:

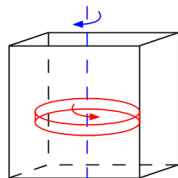
$$J\dot{\omega} + \omega^\times J\omega = \omega^\times h_w - \tau_w - \underbrace{\tilde{b}^\times(t, q)\tau_m}_{T_m}$$

$$\dot{q} = S(\omega)q$$

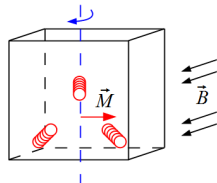
- Actuator dynamics (Reaction Wheels):

$$\dot{h}_w = \tau_w$$

- ▷ **Reaction wheels:** if $\tau_w = k$ then $h_w = kt \rightarrow$ risk of saturation of h_w
- ▷ **Magnetorquers:** Controllability issues: $T_m = -\tilde{b}^\times(t, q)\tau_m = -(R(q)\tilde{b}_o(t))^\times \tau_m$



Reaction wheels



Magnetorquers

Attitude control with reaction wheels and magnetorquers

- ▷ Classical solution: “Cross-product law” uses separate loops and high-gain
- ▷ Proposed-solution: use static allocation in feedback from actuator state

Dynamics:

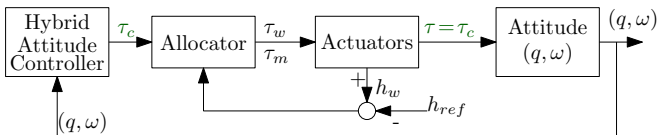
$$\begin{aligned}
 J\dot{\omega} + \omega^\times J\omega &= \underbrace{-\tau_w - \omega^\times h_w + T_m}_{\tau} \\
 \dot{q} &= S(\omega)q \\
 \dot{h}_w &= \underbrace{-\omega^\times h_w - (R(q)\tilde{b}_o(t))^\times \tau_m - \tau}_{\tau_w}
 \end{aligned}$$

Control law:

$$\tau_w = -\omega^\times h_w - (R(q)\tilde{b}_o(t))^\times \tau_m - \tau,$$

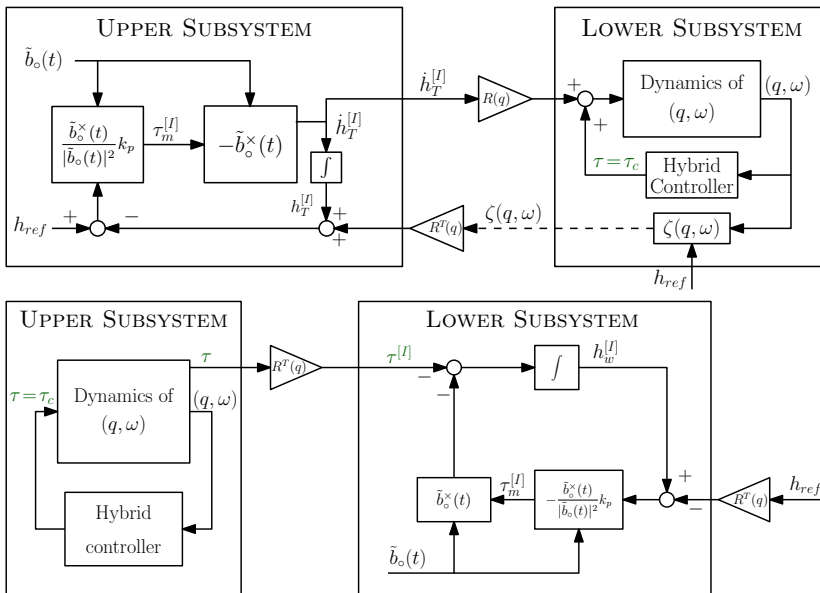
$$\tau_m = -\frac{(R(q)\tilde{b}_o(t))^\times}{|\tilde{b}_o(t)|^2} k_p (h_w - h_{ref})$$

τ = Hybrid attitude controller command

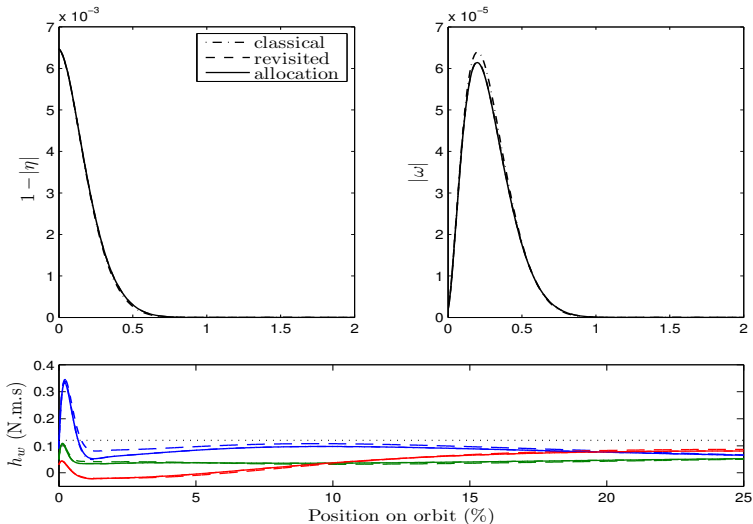


Th'm: If τ ensures GAS of the origin for (q, ω) dynamics, then allocation scheme preserve the same exact (q, ω) response and ensures GAS of $h_w = h_{ref}$.

Allocation scheme enables inverting the cascade



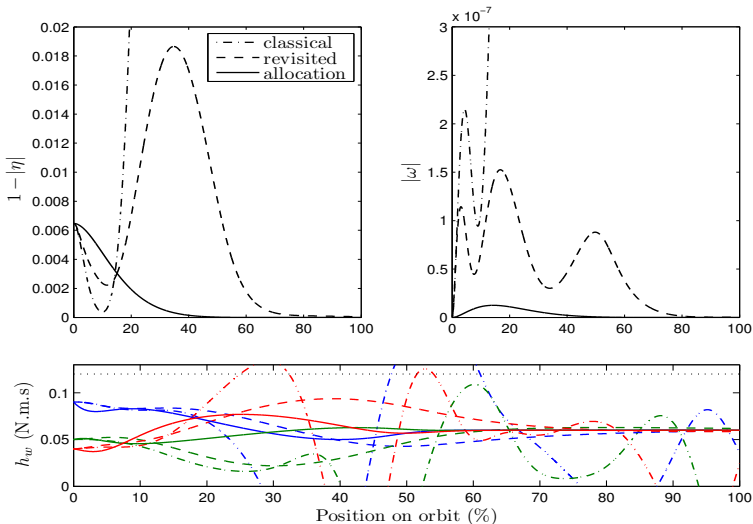
Stabilization transients with aggressive controller



✓ Similar results

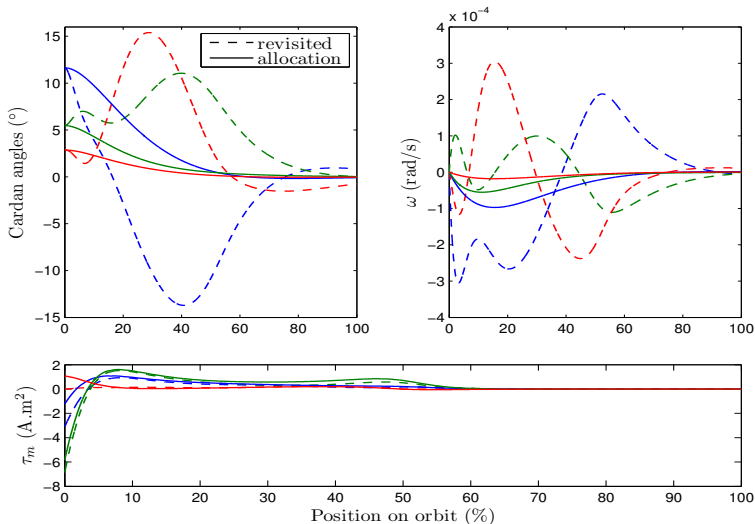
✗ saturation of h_w

Stabilization transients with non aggressive controller



✓ revisited and allocation controllers preserve stability

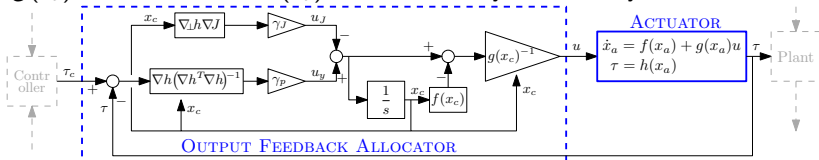
Attitude transient decoupled from the h_w transient



✓ allocation-based strategy gives more regular attitude transient

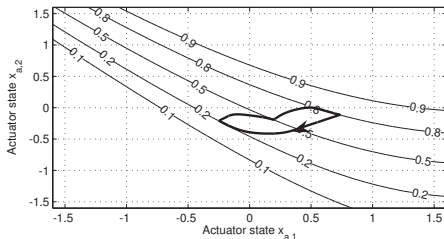
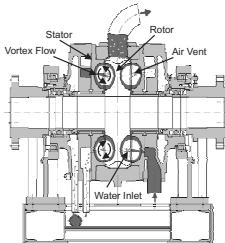
Nonlinear allocation with partial actuator measurements

- ▷ In some applications may be able to only access *virtual input* τ
- ▷ If $g(x_a)$ is invertible and $f(x_a)$ is incrementally stable, may use scheme



Th'm: Under stated assumptions, we have $\dot{\tau} = -\gamma_p(\tau - \tau_c)$ and (slow) convergence of x_a to the minimum of $J(x_a)$.

- ▷ Hydrodynamic dynamometer uses two valves with nonlinear output map h

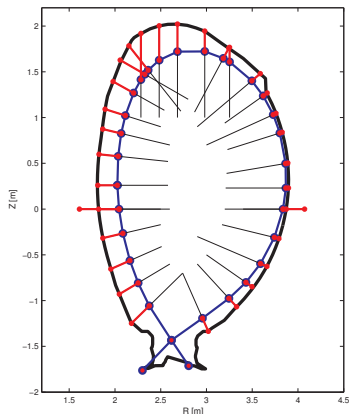


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Joint European Torus (JET) plasma shape control

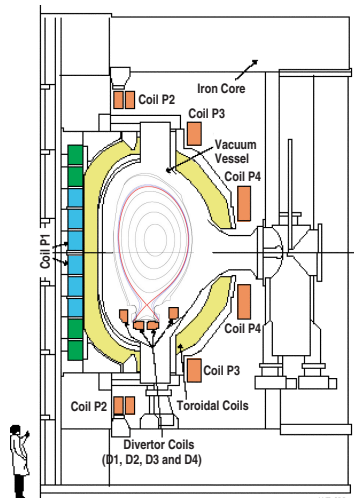
- We want to control the plasma shape on a poloidal cross section.
- Shape is described by a finite number of geometrical parameters called **gaps**.
- Gaps are defined as the distances between the plasma boundary and the first wall along certain segments.
- Gaps values are evaluated from magnetic sensor measurements by estimation algorithms.
- We want to control:
32 outputs y .



JET shape control has not redundant inputs

- JET has 8 poloidal field (PF) coils available as actuators for plasma shape control.
- JET PF coils are connected to form 9 circuits.
- Control inputs represented by currents flowing in the circuits.
- Inputs available:

9 control inputs u .



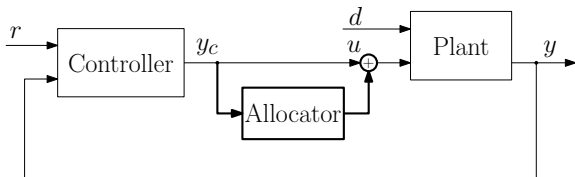
▷ No redundant inputs: still need achieve saturation avoidance!

Recall allocator features for the redundant case

- Essential features of the dynamic allocator seen before

$$\dot{w} = -\rho K B_{\perp}^T \nabla J$$

$$u = y_c + B_{\perp} w$$



- The columns of B_{\perp} correspond to the redundant directions
- K diagonal allows to promote/penalize different redundant directions
- \bar{W} imposes the optimality criterion: u converges to

$$u^* = \operatorname{argmin}_w (u - u_0)^T \bar{W} (u - u_0), \text{ subject to: } u = y_c^* + B_{\perp} w,$$

namely minimizes cost $J = (u - u_0)^T \bar{W} (u - u_0)$.

- ρ , positive scalar allows to adjust convergence speed

Extended cost function and new “trade-off” allocator

- ▷ We introduce a more general **cost function** [before]

$$J_e(u, \delta y) \quad [J = (u - u_0)^T \bar{W}(u - u_0)]$$

- ▷ Minimum of J_e is a **trade-off between** (\star denotes steady state values).
- the modified steady state value of the **plant input** u^\star and
 - the associated **output modification** δy^\star with respect to the original y^\star
- ▷ The new allocator is described by the equations [before] :

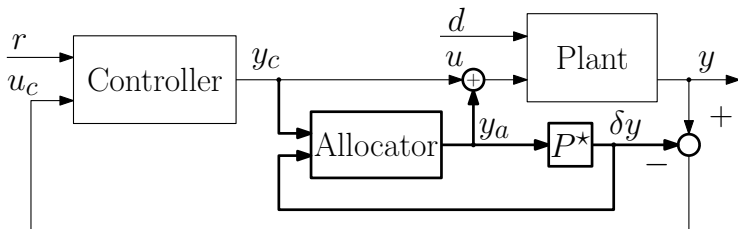
$$\begin{aligned} \dot{w} &= -\rho K B_0^T \begin{bmatrix} I \\ p^\star \end{bmatrix}^T \nabla J_e & \begin{bmatrix} \dot{w} &= -\rho K B_\perp^T \nabla J \\ u &= y_c + B_\perp w \end{bmatrix} \\ u &= y_c + B_0 w \end{aligned}$$

- ▷ B_0 is a suitable full column rank matrix, generalizing the matrix B_\perp (all input directions are potentially “redundant” now).

Allocator now also injects signals at plant output

- ▷ New allocator injects extra signal $\delta y = P^* y_a$ so as to not “fight” against the controller at the steady-state:

$$\begin{aligned} u_c &= y - P^* B_0 w = y - P^* y_a \\ u &= y_c + B_0 w = y_c + y_a \end{aligned}$$



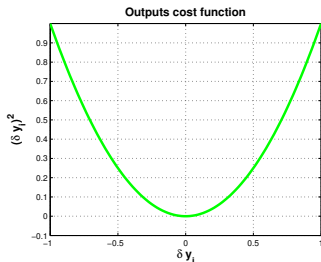
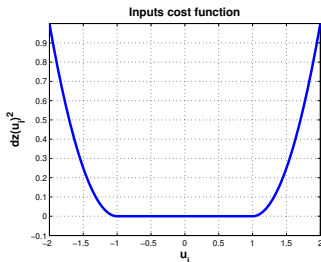
Th'm Under some convexity assumptions on nonlinear cost J_e , for sufficiently small ρ the allocator is such that, under constant inputs, $(u(t), \delta y(t))$ converge to the minimizer of J_e .

Example of a cost function: penalize u and δy

A possible selection of the cost function is

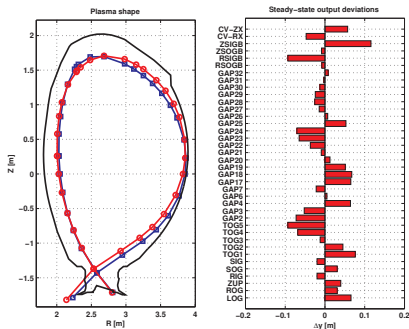
$$J_e(u, \delta y) = \sum_{i=1}^{n_u} a_i dz(u_i)^2 + \sum_{i=1}^{n_y} b_i (\delta y_i)^2$$

where $dz(u_i) = \text{sign}(u_i) \max\{0, |u_i| - 1\}$, $a_i \geq 0$, $i = 1, \dots, n_u$ and $b_i > 0$ $i = 1, \dots, n_y$.

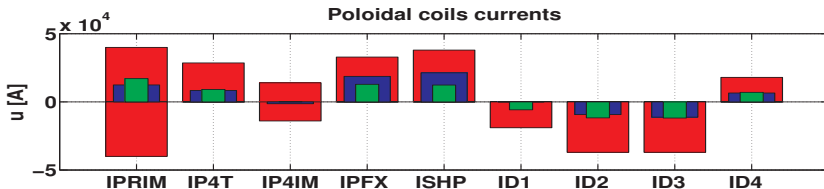


Alternative non symmetric choices are possible

Steady-state allocation: penalize input u

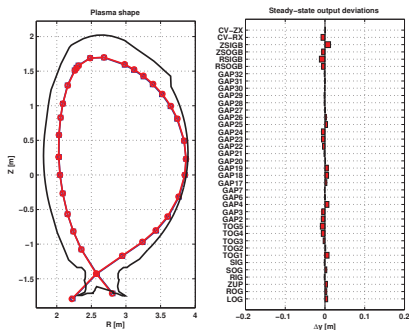


- Allocated shape (red balloon) greatly modified wrt the nominal shape (blue balloon)
- ID1 is moved away from saturation by allocator

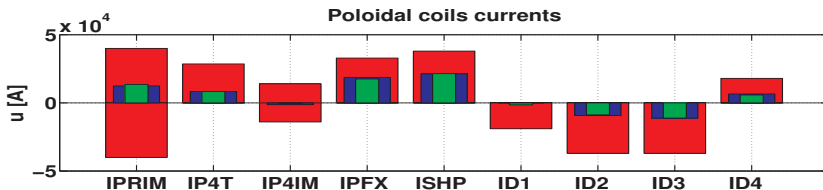


Input ranges (red), controller output y_c (blue), allocated input u (green)

Steady-state allocation: penalize output y

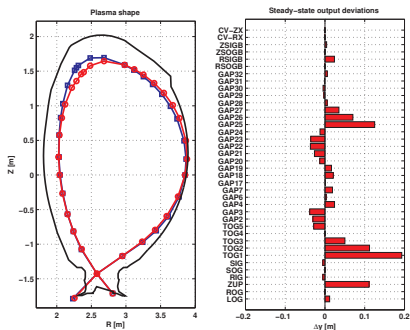


- Allocated shape (red balloon) slightly modified wrt the nominal shape (blue balloon)
- Increasing output penalty, shape modification δy^* is reduced
- ID1 comes back very close to saturation level

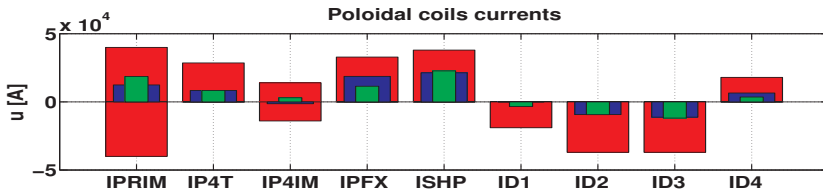


Input ranges (red), controller output y_c (blue), allocated input u (green)

Steady-state allocation: restrict B_0 to nail down outputs



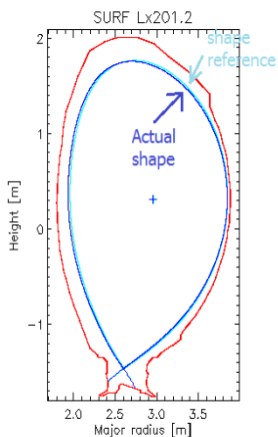
- Allocated shape (red baloon)
nominal shape (blue baloon)
- Penalize input u as in first test
- Remove columns from B_0 to fix 5 outputs (CV-RX, CV-ZX, ZSOGB, RSIGB and RSOGB, i.e. X-point and strike points) and one input (IP4T current)
- ID1 again far from saturation level



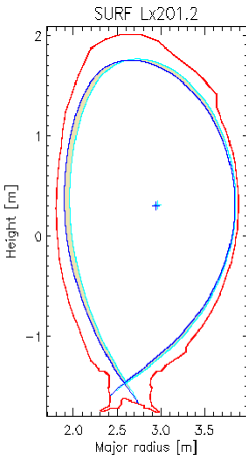
Input ranges (red), controller output (blue), allocated input (green)

Experiment during current ramp-down *without* allocator

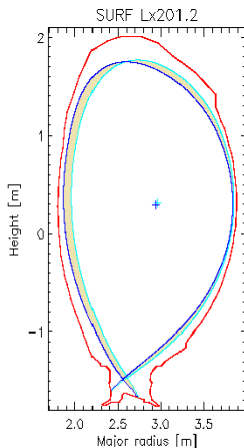
- ▷ X-point and strike points severely compromised at $t = 19$ s
- ▷ Radial Inner Gap (RIG) also becomes very small



$t = 15$ s



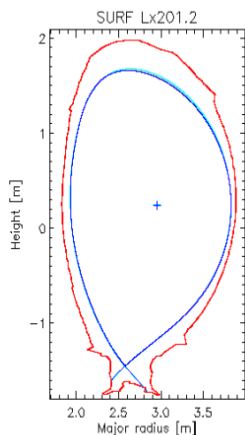
$t = 17$ s



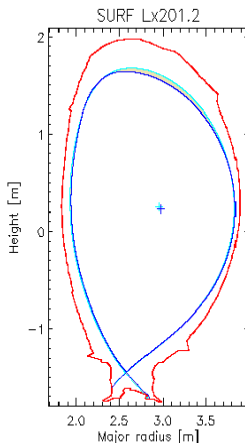
$t = 19$ s

Experiment during current ramp-down *with* allocator

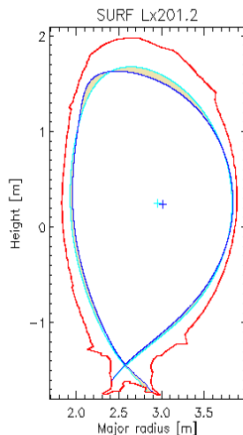
- ▷ X-point, strike points and RIG better behaved in the same conditions
- ▷ Shape is sacrificed in the upper part of the vessel where space is available



t = 15s



t = 16s



t = 18s

Summary of presented works with references

- ▷ A recent survey about input allocation in Johansen and Fossen [2013]
- ▷ First ideas behind the presented theory with some nonlinear applications Zaccarian [2007, 2009]
- ▷ The presented applications are reported in:
 - FTU elongation control Boncagni et al. [2012]
 - Hybrid Electric Vehicle control Cordiner et al. [2014]
 - Satellite attitude stabilization Trégouët et al. [2014]
 - Hydrodynamic dynamometer application Passenbrunner et al. [2012]
- ▷ JET current limit avoidance system
 - Theory of trade-off allocator and first simulations Tommasi et al. [2011]
 - Software implementation commissioning Tommasi et al. [2012]
 - Closed-loop experimental results Tommasi et al. [2013a,b]

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