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### Lyapunov-based Reset Control

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### Joint IFAC Mechatronics and NOLCOS 2019, Vienna, Austria September 4, 2019

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Outline					

- 1 Clegg integrator and its use in a simple planar feedback loop
- Stabilization, regulation and tracking using adaptive First Order Reset Elements (FORE) with automotive applications

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- 8 High-order reset elements and LMI-based reset plant-order designs
- Resets improve PID controlled positioning systems with Coulomb and Stribeck effects
- 5 Conclusions and acknowledgments

# Clegg integrator and its use in a simple planar feedback loop

High-order reset elements

Collaborative work with:



Clegg integrator

D Nesic



S Tarbouriech



AR Teel



F Fichera



C Prieur

References



A Tanwani

(日本)(四本)(日本)(日本)(日本)



M Della Rossa

R Goebel

### An analog integrator and its Clegg extension Clegg [1958]

### Proportional Integral (PI) control comprise an integrator







• In an analog integrator, the state information is stored in a capacitor:

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$$\dot{x}_c = (RC)^{-1}e$$

### An analog integrator and its Clegg extension Clegg [1958]

### Proportional Integral (PI) control comprise an integrator







Clegg's integrator Clegg [1958]: *feedback diodes*: the **positive** part of x<sub>c</sub> is all and only coming from the **upper** capacitor (and viceversa) *input diodes*: when e ≤ 0 the upper capacitor is reset and the lower one integrates (and viceversa) [R<sub>d</sub> ≪ 1]
As a consequence ⇒ e and x<sub>c</sub> never

### have opposite signs





Previous models Clegg [1958], Krishnan and Horowitz [1974], Horowitz and Rosen-

baum [1975], Beker et al. [2004]:  $\dot{x}_c = (RC)^{-1}e$ , if  $e \neq 0$ ,  $x_c^+ = 0$ , if e = 0,

- <u>Inaccurate</u>: solutions ∃ s.t. x<sub>c</sub> e < 0, but</li>
   Clegg's x<sub>c</sub> and e always have same sign!
- <u>Unrobust</u>:  $\mathcal{F}$  is almost all of  $\mathbb{R}^2$ (arbitrary small noise disastrous)
- <u>Conservative</u>: Adds extra solutions



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Solid: projection of x<sub>c</sub>(t,j) on continuous time axis t
Dash: projection of e(t,j) on continuous time axis t



• Domain dom  $x_c$  (in red) and graph (bold black) of the solution  $x_c$ 



# Clegg integrator FORE High-order reset elements Friction Compensation Conclusions References Hybrid Lyapunov theory to study exponential stability

**Th'm** Teel et al. [2013] Given Euclidean norm  $|x| = \sqrt{x^T x}$  and system

$$\mathcal{H}: \left\{ \begin{array}{ll} \dot{x} = f(x), & x \in \mathcal{F} \\ x^+ = g(x), & x \in \mathcal{J}, \end{array} \right.$$

assume that function  $V : \mathbb{R}^n \to \mathbb{R}_{\geq 0}$  satisfies for some scalars  $c_1$ ,  $c_2$  positive and  $c_3$  positive:

$$\begin{aligned} \mathbf{c_1}|x|^2 &\leq V(x) \leq \mathbf{c_2}|x|^2, \qquad \forall x \in \mathcal{F} \cup \mathcal{J} \cup g(\mathcal{J}) \\ \dot{V}(x) &\coloneqq \langle \nabla V(x), f(x) \rangle \leq -\mathbf{c_3}|x|^2, \qquad \forall x \in \mathcal{F}, (\text{Flow cond'n}) \\ \Delta V(x) &\coloneqq V(g(x)) - V(x) \leq -\mathbf{c_3}|x|^2, \qquad \forall x \in \mathcal{J}, (\text{Jump cond'n}) \end{aligned}$$

then the origin is uniformly globally exponentially stable (UGES) for  $\mathcal{H}$ , namely there exist  $K, \lambda > 0$  such that all solutions x satisfy

 $|x(t,j)| \leq K e^{\lambda(t+j)} |x(0,0)|, \quad \forall (t,j) \in \operatorname{dom} x$ 

<u>Note</u>: Lyapunov conditions comprise **flow** and **jump** conditions. <u>Note</u>: UGES is characterized in terms of hybrid time (t,j) 

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 Example 1: Clegg connected to an integrator plant



• Output response overcomes linear control limitations Beker et al. [2001]



• Hybrid solution on the phase-plane and plant state response





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• Hybrid solution on the phase-plane and controller response



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• Two options to avoid multiple instantaneous resets (jumps)

Inhibit jumps for  $\rho$  continuous time after each jump

Time regularization

Ensure that jumps map to the interior of  $\mathcal{F}$ , away from  $\mathcal{J}$ 

Space regularization



• Lyapunov conditions must be enforced on suitable sets



• Two options to avoid multiple instantaneous resets (jumps)

Inhibit jumps for  $\rho$  continuous time after each jump

Time regularization

Ensure that jumps map to the interior of  $\mathcal{F}$ , away from  $\mathcal{J}$ 

Space regularization



 $\mathcal{F} = \{x \in \mathbb{R}^{n} : x^{\top} Mx \leq 0\}$   $\odot$  Persistent flowing of solutions  $\mathcal{J} = \{x \in \mathbb{R}^{n} : x^{\top} Mx \geq 0\}$   $\odot$  Overflow in the set  $\mathcal{J} (t_{1} \geq t_{0} + \rho)$ 

Theorem: Nešić et al. [2011] From partial homogeneity it follows that

LAS  $\Leftrightarrow$  GES  $\Leftrightarrow \mathcal{L}_p$  stable from  $d \Leftrightarrow$  ISS from d

• Dwell-time allows using *classical* continuous-time performance indexes

### Definition (*t*-decay rate)

Hybrid system  ${\cal H}$  has t-decay rate  $\alpha>0$  if there exists K>0 such that all solutions satisfies

 $|x(t,j)| \leq K \exp(-\alpha t) |x(0,0)|, \quad \text{for all } (t,j) \in \operatorname{dom}(x).$ 

### Definition $(t-\mathcal{L}_2 \text{ gain})$

System  $\mathcal{H}$  is finite  $t-\mathcal{L}_2$  gain stable from d to z with gain (upper bounded by)  $\gamma > 0$  if any solution to  $\mathcal{H}$  starting with x(0,0) = 0 satisfies

$$\|x\|_{2t} \leq \gamma \|d\|_{2t} \coloneqq \gamma \left( \sum_{j \in \mathbb{Z}} \int_{t_j}^{t_{j+1}} |d(\tau, j)|^2 d\tau \right)^{\frac{1}{2}}, \quad \text{for all } d \in t - \mathcal{L}_2.$$

References

**Theorem**: Consider system  $\mathcal{H}$ . If there exist  $P = P^{\top} > 0$ , non-negative  $\tau_F$ ,  $\tau_R \in \mathbb{R}_{\geq 0}$  and positive  $\overline{\gamma}$ , s.t.

(Flow) 
$$\begin{pmatrix} A^{\mathsf{T}}P + PA - \tau_F M & PB & C_z^{\mathsf{T}} \\ B^{\mathsf{T}}P & -\bar{\gamma}I & D_{zd}^{\mathsf{T}} \\ C_z & D_{zd} & -\bar{\gamma}I \end{pmatrix} < 0,$$
  
(Jump) 
$$G^{\mathsf{T}}PG - P + \tau_R M \le 0,$$

Then, by virtue of  $V(x) = x^T P x$ , for any  $\gamma$  satisfying

$$\gamma \geq \bar{\gamma}, \quad \gamma > \sqrt{2}|D_{zd}|,$$

there exists  $\overline{\rho} > 0$  such that for any  $\rho \in (0, \overline{\rho})$ :

- the set A = {(x, \tau): x = 0} is globally exponentially stable for the hybrid system H with d = 0;
- **a**) the  $t-\mathcal{L}_2$  gain from d to z is  $\leq \gamma$ , for all  $d \in t-\mathcal{L}_2$ .



## Piecewise quadratic Lyapunov theorem

Clegg integrator

**Theorem** Zaccarian et al. [2011], Loquen [2010]: If the following LMIs in the green unknowns (where  $Z = [I_{n-2} \ 0_{(n-2)\times 2}])$  are feasible:

High-order reset elements

$$(Flow) \begin{bmatrix} A^{T}P_{i} + P_{i}A + \tau_{Fi}S_{i} & P_{i}B_{d} & C_{z}^{T} \\ * & -\gamma I & 0 \\ * & * & -\gamma I \end{bmatrix} < 0, i = 1, \dots, N,$$

$$(Jump) \quad G^{T}P_{1}G - P_{0} + \tau_{J}S_{0} \leq 0$$

$$(Cont'ty) \quad \Theta_{i\perp}^{T}(P_{i} - P_{i+1}) \Theta_{i\perp} = 0, \quad i = 0, \dots, N-1,$$

$$(Cont'ty) \quad \Theta_{N\perp}^{T}(P_{N} - P_{0})\Theta_{N\perp} = 0$$

$$(Overlap) \quad G^{T}P_{1}G - P_{1} + \tau_{\epsilon 1}S_{\epsilon 1} \leq 0$$

$$(Overlap) \quad G^{T}P_{1}G - P_{N} + \tau_{\epsilon 2}S_{\epsilon 2} \leq 0$$

$$(Origin) \begin{bmatrix} Z(A^{T}P_{0} + P_{0}A)Z^{T} & ZP_{0}B_{d} & ZC_{z}^{T} \\ * & -\gamma I & 0 \\ * & * & -\gamma I \end{bmatrix} < 0,$$

$$\text{then global exponential stability + finite } t-\mathcal{L}_{2} \text{ gain } \gamma \text{ from } d \text{ to } z$$

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 Example 1: Clegg connected to an integrator



- Gain  $\gamma_{dy}$  estimates (N = # of sectors) N 2 4 8 50 gain  $\gamma_{dy}$  2.834 1.377 0.914 0.87
- A lower bound:  $\sqrt{\frac{\pi}{8}} \approx 0.626$
- Lyapunov func'n level sets for N = 4



Quadratic Lyapunov functions
 P<sub>1</sub>,..., P<sub>4</sub> cover 2nd/4th quadrants are unsuitable Zaccarian et al. [2011]
 P<sub>0</sub> covers 1st/3rd quadrants



• Mid of quadratics provides another nonconvex Lyapunov function certifying GES for the previous example

$$V_{\text{mid}}(x) = \min\{V_1, V_2, V_3\}$$
  
:= max{min{ $V_1, V_2$ },  
min{ $V_2, V_3$ }, min{ $V_1, V_3$ }}

• May twist it into a convex Lyapunov certificate

$$V_{\text{conv}}(x) = \begin{cases} V_{\text{mid}}(x), & \text{if } x \in \mathcal{F} \\ \langle w, x \rangle^2 & \text{if } x \in \mathcal{J} \end{cases}$$



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### Stabilization, regulation and tracking using adaptive First Order Reset Elements (FORE) with automotive applications

Collaborative work with:



D Nesic



H Waschl



AR Teel



M Cordioli



D Alberer



F Palazzetti



FS Panni



F Panizzolo

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## Stabilization using hybrid jumps to zero

First Order Reset Element Nešić et al. [2011], Loquen et al. [2007]:

High-order reset elements

 $\dot{x}_c = \mathbf{a}_c x_c + \mathbf{b}_c \mathbf{e}, \qquad x_c \mathbf{e} \ge \mathbf{0}, \\ x_c^+ = \mathbf{0}, \qquad x_c \mathbf{e} \le \mathbf{0},$ 

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Clegg integrator

**Theorem** If  $\mathcal{P}$  is linear, minimum phase and relative degree one, **then**  $a_c$ ,  $b_c$  or  $(a_c, b_c)$  large enough  $\Rightarrow$  global exponential stability **Theorem** In the planar case,  $\gamma_{dy}$  shrinks to zero as parameters grow





Conclusions

References





•  $a_c = 1$ : level set with N = 50



• Gain  $\gamma_{dv}$  estimates



- Relevant works Panni et al. [2014], Loquen et al. [2008]
- Parametric feedforward  $u_{\rm ff} = \Psi(r)^T \alpha$ 
  - $\begin{cases} \dot{x}_c = \mathbf{a}_c x_c + \mathbf{b}_c \mathbf{e}, \\ \dot{\alpha} = \mathbf{0}, \end{cases} \qquad x_c \mathbf{e} \ge \mathbf{0}, \\\\ \begin{cases} x_c^+ = \mathbf{0}, \\ \alpha^+ = \alpha + \lambda \frac{\Psi(r)}{|\Psi(r)|^2} x_c, \end{cases} \qquad x_c \mathbf{e} \le \mathbf{0}, \end{cases}$



**Theorem**: If FORE stabilizes with r = 0, then for constant  $r, y \rightarrow r$ 

**Lemma**: Tuning of  $\lambda$  using discrete-time rules (Ziegler-Nichols)



Example: EGR Experiment (next slide)



## Clegg integrator Coordenation of EGR valve position in Diesel engines

- Reported in Panni et al. [2014]
- EGR: Recirculates Exhaust Gas in Diesel engines
- Subject to strong disturbances
   ⇒ need aggressive controllers
   (recall exp. unstable transients)





Clegg integrator FORE High-order reset elements Friction Compensation Conclusions References

### Feedforward: $\alpha$ converges to suitable parametrization



- \*: steady-state input/output pairs (stiction!!)
- Red Solid:  $u_{ff} = \Psi^T(r)\alpha^*$ , with  $\alpha^*$  steady-state for  $\alpha$
- Black dashed:  $u_{ff} = \Psi^T(r)\bar{\alpha}^*$ when pulling the valve with an elastic band



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## Experimental adaptation of feedforward in lab setup



- Random sequence of position reference steps
- Adaptation gain  $\lambda$ intentionally selected small and  $\alpha$  initialized at zero to appreciate transient
- Initial transient shows typical oscillations arising with inaccurate feedforward
- As  $\alpha \rightarrow \alpha^*$ , the step responses become increasingly desirable

### Laboratory experiments close to time-optimal



• Time-optimal: unrobust, obtained via trial and error

### • PI:

Tuned using standard MATLAB tools

- Adaptive FORE: Response after  $\alpha \rightarrow \alpha^* =$ (0.128, 0.087, 0.115)
- Note the exponentially diverging voltage: aggressive action for disturbance rejection on the real engine

### Extension to reference tracking is ongoing work

- NEW Parametric feedforward:  $u_{\rm ff} = \Psi(r)^T \alpha \Rightarrow \Psi(r, \dot{r})^T \alpha$
- Proposed in Cordioli et al. [2015]
- Revisited in Cocetti et al. [2019]
- Electromechanical valve current tracking in power-split transmissions application







# High-order reset elements and LMI-based reset plant-order designs

Collaborative work with:



F Fichera



C Prieur



S Tarbouriech



F Ferrante

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# A Lyapunov interpretation of the Clegg integrator logic Prieur et al. [2013]

High-order reset elements

Reset to the minimizer of the hybrid Lyapunov

function 
$$V(x) = \left[ \begin{bmatrix} x_p \\ x_c \end{bmatrix} \right]^2$$
:

Clegg integrator

$$x_c^+ = \phi(x_p) \coloneqq \underset{x_c}{\operatorname{argmin}} V(x_p, x_c) = 0$$

- Reset whenever function  $V_p(x_p)\coloneqq V(x_p,\phi(x_p))=|x_p|^2 \text{ starts increasing }$
- Jump set selected as

$$\begin{aligned} \mathcal{J} &= \left\{ \begin{bmatrix} x_p \\ x_c \end{bmatrix} \in \mathbb{R}^n : \begin{bmatrix} x_p \\ x_c \end{bmatrix}^\top M \begin{bmatrix} x_p \\ x_c \end{bmatrix} \ge 0 \right\}, \\ \begin{bmatrix} x_p \\ x_c \end{bmatrix}^\top M \begin{bmatrix} x_p \\ x_c \end{bmatrix} = \left\langle \nabla V_p(x_p), A_p x_p + B_p x_c \right\rangle \\ &= \dot{V}_p(x_p, x_c) \end{aligned}$$

• Nonlinear extensions in Prieur et al. [2013]



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References

# Clegg integrator FORE High-order reset elements Friction Compensation Conclusions References

May design the reset rules  $K_p$ , M,  $\rho$  only or the whole dynamics





Matrices  $A_c, B_c, C_c, D_c$  are given. Design  $K_p$ , M and  $\rho$ 



• A DC motor controlled by a PI controller



• LMI-based synthesis for **overshoot reduction**:

$$V_p(x_p) = x_p^\top P_p x_p \approx |y|^2$$

• may also maximize the decay rate  $\alpha$ 





• Design all of  $\mathcal{H}_c$ ,  $A_c$ ,  $B_c$ ,  $C_c$ ,  $D_c$ ,  $K_p$ , M and  $\rho$ , to minimize  $\gamma_{dz}$ 



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Clegg integrator FORE High-order reset elements Friction Compensation Conclusions References occords o

- Previous design still uses state feedback
- LMI-based fully output feedback design in Ferrante and Zaccarian [2019]
  - $\begin{cases} \dot{x}_{c} = (1-q)(A_{c}x_{c} + B_{c}y) \\ \dot{v} = -(1-q)\lambda v \\ \dot{\tau} = 1 \end{cases} \begin{bmatrix} y \\ x_{c} \end{bmatrix}^{\mathsf{T}} M \begin{bmatrix} y \\ x_{c} \end{bmatrix} \le 0 \text{ or } \tau \in [0,\rho] \\ \begin{cases} x_{c}^{+} = K_{c}x_{c} + G_{c}y \\ v^{+} = K_{v}x_{c} + G_{v}y \\ \tau^{+} = 0 \end{bmatrix} \begin{bmatrix} y \\ x_{c} \end{bmatrix}^{\mathsf{T}} M \begin{bmatrix} y \\ x_{c} \end{bmatrix} \ge 0 \text{ and } \tau \ge \rho \end{cases}$

 $u = (C_c x_c + D_c y)(1 - q) + vq$  $q = \begin{cases} 1, & \text{if } \tau \le \rho, \text{ (freeze controller state } x_c \text{ and plant input } u = v) \\ 0, & \text{if } \tau \ge \rho, \text{ (release controller state } x_c \text{ and plant input } u) \end{cases}$ 

• Lyapunov function based on dwell-time  $\tau \in [0, \rho]$  and  $x := (x_p, x_c, v)$ ,  $W(x, \tau) := e^{-2\alpha\tau} (e^{A_1 \max\{0, \rho - \tau\}} x)^{\mathsf{T}} P e^{A_1 \max\{0, \rho - \tau\}} x$  Clegg integrator FORE

High-order reset elements

Friction Compensation

Conclusions References

# Resets improve PID controlled positioning systems with Coulomb and Stribeck effects

Collaborative work with:



R Beerens



A Bisoffi



M Heemels

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H Nijmeijer



N van de Wouw



• Coulomb friction causes slow transients with PID feedback



• Hybrid closed loop with reset PID (no knowledge of  $f_c$  required)

$$\dot{x} \in \begin{bmatrix} 0 & 0 & -k_i \\ 1 & 0 & -k_p \\ 0 & 1 & -k_v \end{bmatrix} \begin{bmatrix} \sigma \\ \phi \\ v \end{bmatrix} - \begin{bmatrix} 0 \\ 0 \\ f_c \end{bmatrix} \text{SGN}(v), \quad x \in \mathcal{C} \coloneqq \overline{\mathbb{R}^3 \setminus \mathcal{D}},$$
$$x^+ = \begin{bmatrix} \sigma & -\alpha\phi & v \end{bmatrix}^{\mathsf{T}}, \qquad x \in \mathcal{D} \coloneqq \{x \in \mathbb{R}^3 \mid \phi\sigma \leq 0, \, \phi v \leq 0, \, |\phi\sigma| \geq \varepsilon\},$$



• Stribeck effect causes "hunting" instability with PID feedback



- Reset controller with extra logical state  $h \in \{-1, 1\}$ , jumps from  $\mathcal{D}_{\sigma} := \{x : \sigma = 0, h = 1\}, \quad \mathcal{D}_{v} := \{x : v = 0, \sigma \phi \ge \frac{k_{p}}{k_{i}}\sigma^{2}, h = -1\}$
- Flow is constrained within  $C := \{x : hv\sigma \ge 0, \sigma\phi \ge \frac{k_p}{k_i}\sigma^2\}$
- Stability proof uses semiglobal dwell time and bisimulation of the dynamics with a rather convoluted hybrid Lyapunov function



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• Stability proof uses semiglobal dwell time and bisimulation of the dynamics with a rather convoluted hybrid Lyapunov function

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## High-order reset elements The fascinating experience of scientific exchange

### Conclusions:

Clegg integrator

- Resets promise aggressive stabilization with exponentially diverging transients
- We need to be careful about the internal model properties of reset controllers
- Most of the presented results in a recently **published survey paper** (NOW Publishers) freely available until Sep 11, 2019 at

https://www.nowpublishers.com/article/Download/SYS-017

Special thanks to many precious collaborators



#### Analysis and Synthesis of Reset Control Systems

Conclusions

References

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