

# The model recovery anti-windup scheme illustrated via control applications

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J. Marcinkovski, S. Podda, V. Vitale, L. Burlion,  
F. Forni, F. Morabito, F. Todeschini, C. Barbu

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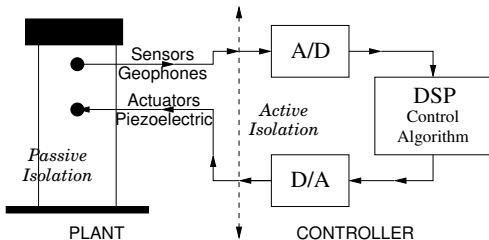
# Outline

- 1 Model recovery anti-windup solution
- 2 Applications using Linear Model Recovery Anti-Windup
- 3 Applications using Nonlinear Model Recovery Anti-Windup

# Active control provides extreme vibration isolation

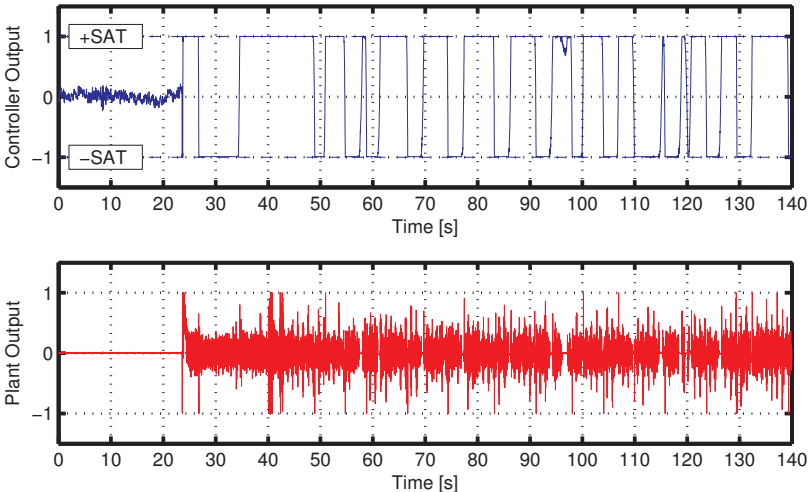
## Newport Corporation's Elite 3™ vibration isolation table

- Useful, for example, in
  - high-precision microscopy
  - semiconductor manufacturing
- Actuators: piezoelectric stack
- Sensors: geophones



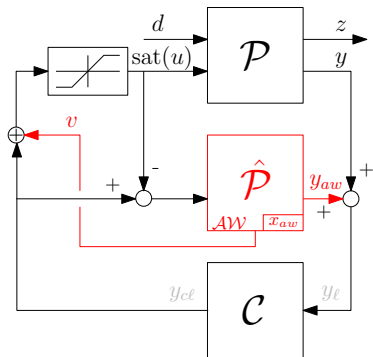
# Input saturation confuses the base control algorithm

- Extreme vibration suppression (40 dB) up to  $t = 23$  s



- At  $t = 23$  s someone walks close to the table

## Teel and Kapoor [1997], Zaccarian and Teel [2002, 2011]



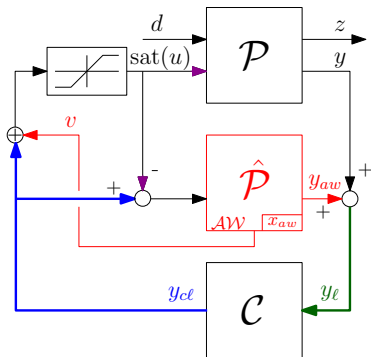
- Framework for **nonlinear**  $\mathcal{AW}$ :

- $\mathcal{AW}$  is a model  $\hat{\mathcal{P}}$  of  $\mathcal{P}$
- $v = k(x_{aw})$  is a (nonlinear) stabilizer whose construction depends on  $\mathcal{P}$
- $\mathcal{AW}$  is **controller-independent**:
  - any (nonlinear)  $\mathcal{C}$  allowed
- Useful feature of MRAW:
  - $\mathcal{C}$  “receives” linear plant output  $y_\ell$
  - $\Rightarrow \mathcal{C}$  “delivers” linear plant input  $y_{c\ell}$

- Unconstrained recovery: **stabilize**  $x_{aw}$  to zero using  $v$
- **Reduced order**  $\hat{\mathcal{P}}$  possible (tested on adaptive noise suppression)
- MRAW allows for **bumpless transfer** among controllers
- MRAW generalizes to **rate** and **curvature** saturation
- MRAW generalizes to **dead time** plants (Smith predictor)

# Linear Model Recovery Anti-Windup main intuition

Teel and Kapoor [1997], Zaccarian and Teel [2002, 2011]



## Model Recovery Anti-Windup (MRAW)

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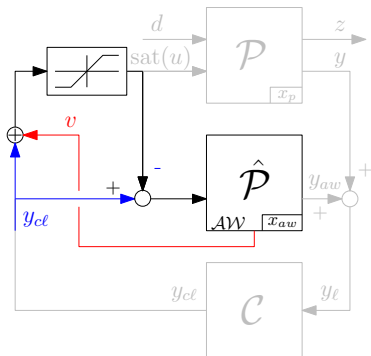
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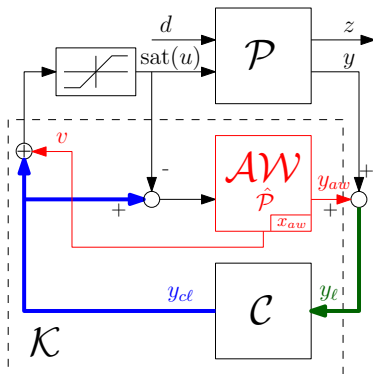
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Pagnotta et al. [2007], Zaccarian and Teel [2005], Forni et al. [2012, 2010], Zaccarian et al. [2005]



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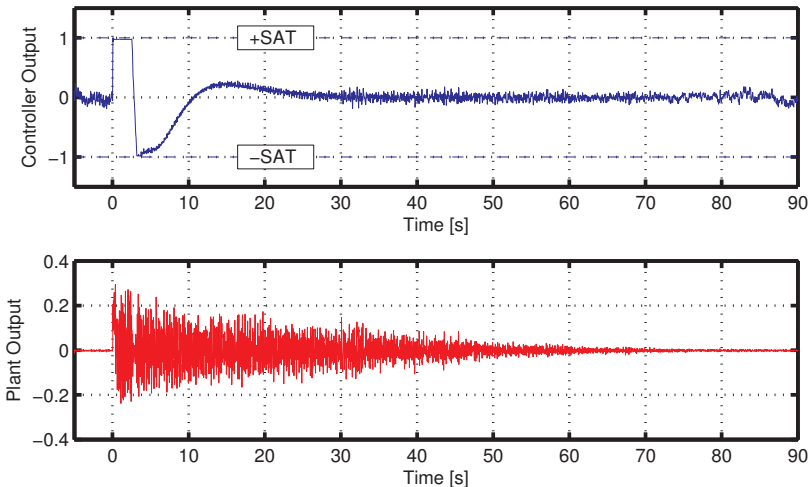
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# Ad hoc gain adaptation induces very slow isolation recovery

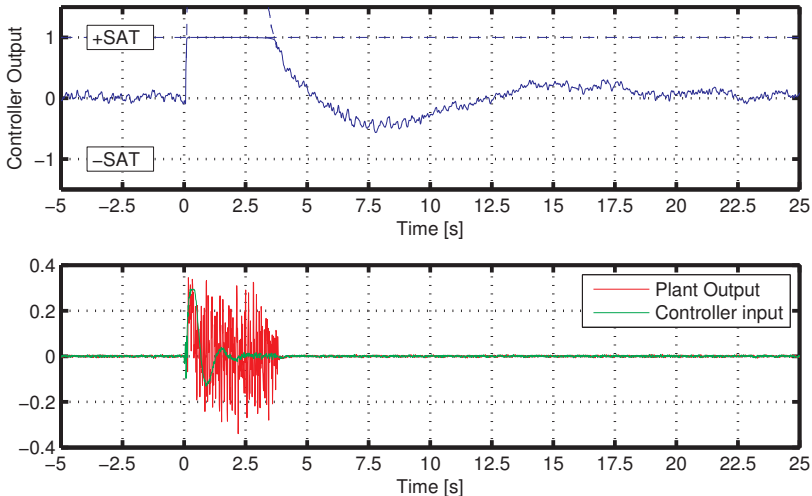
- Effect of a footstep at the side of the table (recovery  $> 1$  minute)



# MRAW dramatically reduces isolation recovery time

Teel et al. [2006], Zaccarian et al. [2000]

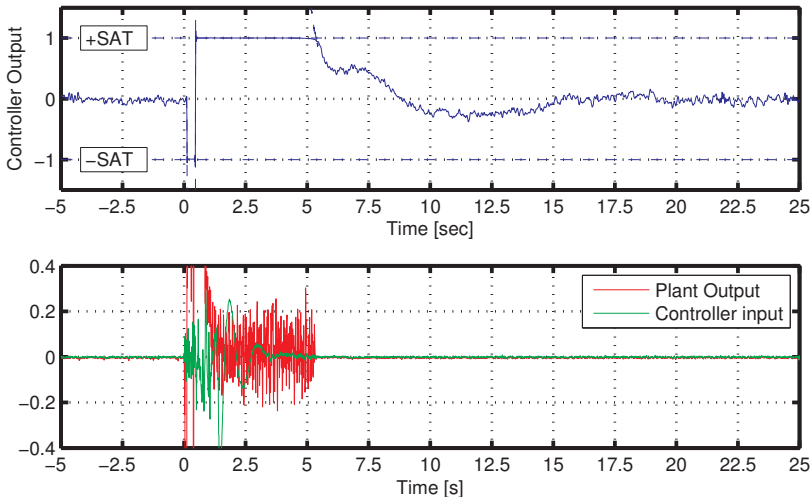
- Effect of a footstep at the side of the table (recovery  $\approx 4$  s)



# Even a bat strike does not confuse the MRAW controller

Teel et al. [2006], Zaccarian et al. [2000]

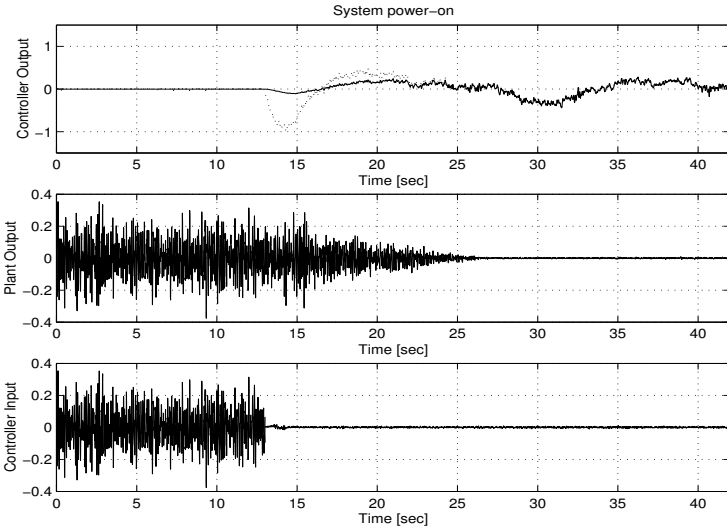
🔪 Hitting with a baseball bat the table leg (recovery  $\approx 5$  s)



# Bumpless transfer enables smooth controller activation

Teel et al. [2006], Zaccarian et al. [2000]

- Controller is gradually activated in bumpless transfer scheme



# Anti-windup for open-water irrigation channels

Zaccarian et al. [2007]

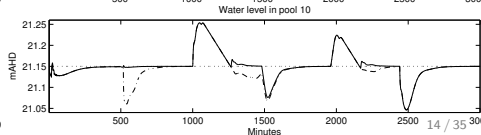
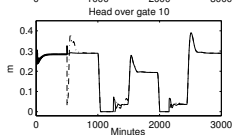
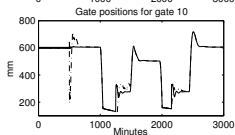
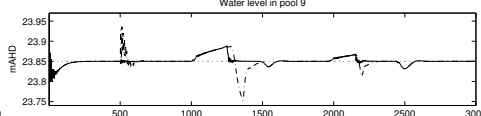
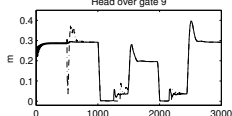
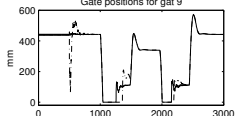
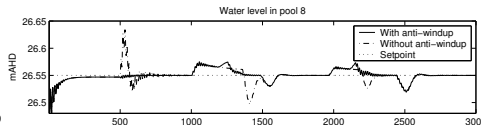
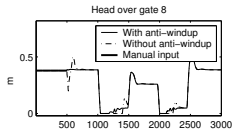
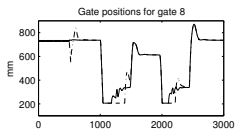
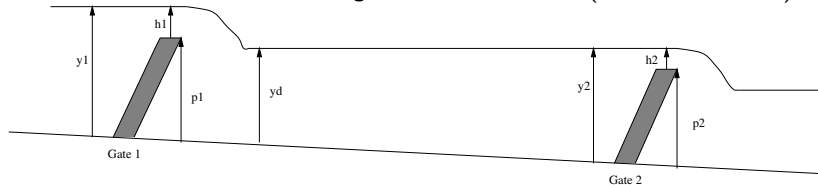
- Open Water Channels: rivers are broken into pools for water saving
- Gate saturation problems:
  - bumpless transfer from manual control to avoid startup transients
  - with small flows in the pools bad lower saturation effects
  - with large disturbances (rain, etc) with overflow to downstream pool
- **Challenge:** plant is not exponentially stable (poles in 0)



# Simulations save days of transient response

Zaccarian et al. [2007]

## Simulations with model of Haughton Main Channel (Queensland, Aus)



# Rate Saturated McDonnell Douglas TAFA dynamics

Barbu et al. [2005]

- Linearized longitudinal dynamics ( $\alpha$ =angle of attack;  $q$ =pitch rate)

$$\begin{aligned}\dot{z} &:= \begin{bmatrix} \dot{\alpha} \\ \dot{q} \end{bmatrix} = \begin{bmatrix} Z_{\alpha} & Z_q \\ M_{\alpha} & M_q \end{bmatrix} z + \begin{bmatrix} 0 \\ M_{\delta} \end{bmatrix} \delta \\ &=: A z + B_u \delta\end{aligned}$$

- Saturation:  $M = 20 \text{ deg}$ ,  $R = 40 \text{ deg/s}$ .

$$\dot{\delta} = R \operatorname{sgn} \left[ M_{\text{sat}} \left( \frac{u}{M} \right) - \delta \right],$$

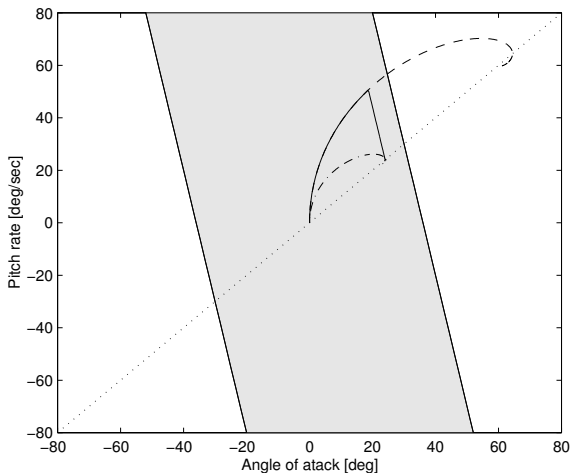


- Study a flight trim condition with one exp unstable mode

$$\dot{x} := \begin{bmatrix} \dot{x}_s \\ \dot{x}_u \end{bmatrix} = \begin{bmatrix} -4 & 0 \\ 0 & 1 \end{bmatrix} x + \begin{bmatrix} b_s \\ b_u \end{bmatrix} \delta$$

# Problems due to magnitude saturation

- Unconstrained trajectory may exit the null-controllability region

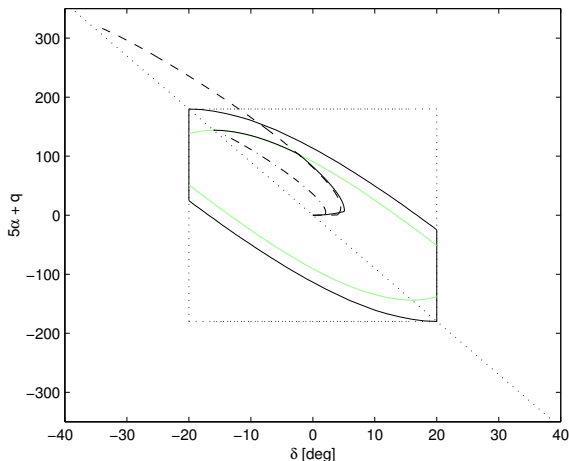


- Unconstrained (—), possible desired trajectories (— and — · —)



# Problems due to magnitude+rate saturation

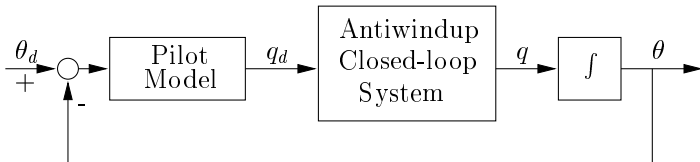
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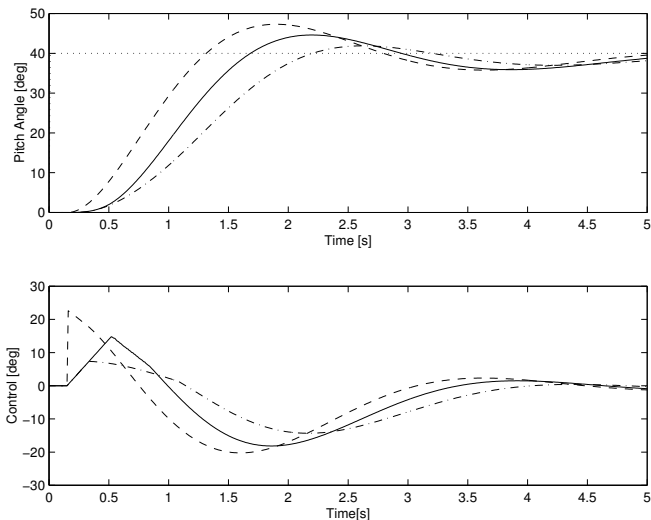
# Close the position loop using a pilot model

- Use a simple crossover model



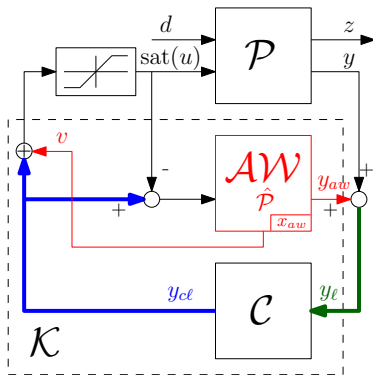
- Study the maneuverability of the aircraft with anti-windup
- Study the possible occurrence of PIOs (Pilot Induced Oscillations)
- Compare the response to the optimal response using static command limiting
- Use a step reference  $\theta_d = 40 \text{ deg}$

# Piloted flight simulation



(unconstrained —, anti-windup —, optimal trajectory with static limiting - · -)

# Recall the Linear MRAW scheme



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# Unconstrained response information (linear case)

- Plant  $\mathcal{P}$

$$\begin{cases} \dot{x} &= Ax + B_d d + B_u \text{sat}(u) \\ z &= C_z x + D_{dz} d + D_{uz} \text{sat}(u) \\ y &= C_y x + D_{dy} d + D_{uy} \text{sat}(u) \end{cases}$$

- Anti-windup filter  $\hat{\mathcal{P}}$

$$\begin{cases} \dot{x}_{aw} &= Ax_{aw} + B_u (y_c - \text{sat}(u)) \\ y_{aw} &= C_y x_{aw} + D_{uy} (y_c - \text{sat}(u)) \end{cases}$$

- Unconstrained controller  $\mathcal{C}$

$$\begin{cases} \dot{x}_c &= A_c x_c + B_{cu} u_c + B_{cr} r \\ y_c &= C_c x_c + D_{cu} u_c + D_{cr} r \end{cases}$$

- Interconnections

$$\begin{cases} u &= y_c + v, \\ u_c &= y + y_{aw} \end{cases}$$

$v$ : to be selected!

- Coordinate transformation:  $(x_\ell, x_c, x_{aw}) = (x + x_{aw}, x_c, x_{aw})$

- Unconstrained dynamics  $\mathcal{P} + \hat{\mathcal{P}}$ :  $\begin{cases} \dot{x}_\ell &= Ax_\ell + B_d d + B_u y_c \\ y + y_{aw} &= C_y x_\ell + D_{dy} d + D_{uy} y_c \end{cases}$

- $\Rightarrow$  Information about the unconstrained response embedded within the scheme!

# The unconstrained response information (nonlinear case)

- Plant  $\mathcal{P}$

$$\begin{cases} \dot{x} &= f(x, \text{sat}(u)) \\ z &= h(x, \text{sat}(u)) \end{cases}$$

- Anti-windup filter  $\hat{\mathcal{P}}$

$$\begin{cases} \dot{x}_{aw} &= f(x - x_{aw}, y_c) - f(x, \text{sat}(u)) \\ y_{aw} &= x_{aw} \end{cases}$$

- Unconstrained controller  $\mathcal{C}$

$$\begin{cases} \dot{x}_c &= g(x_c, u_c, r) \\ y_c &= k(x_c, u_c, r) \end{cases}$$

- Interconnections

$$\begin{cases} u &= y_c + v, \\ u_c &= x + x_{aw} \end{cases}$$

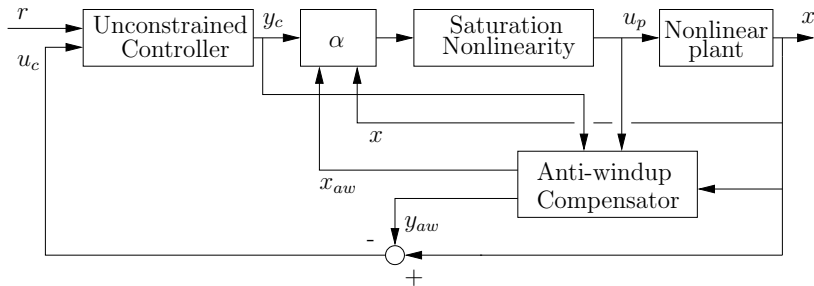
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# Anti-windup for nonlinear systems: resulting scheme



- Need extra plant state measurements
- Recall that  $x_{aw} = x_\ell - x$ : very useful information
  - worry about stability looking at  $x$
  - worry about performance looking at  $x_{aw}$
- A few application examples:
  - Anti-windup for robot manipulators [Morabito et al. \[2004\]](#)
  - Anti-windup for Brake-by-Wire systems [Todeschini et al. \[2016\]](#)

# A SCARA robot manipulator example

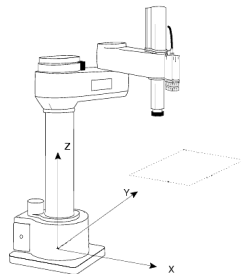
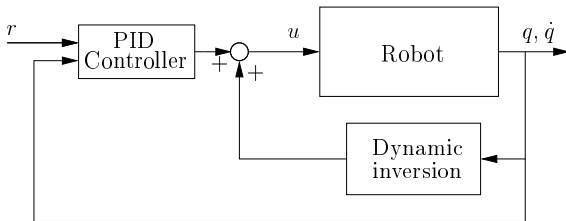
Morabito et al. [2004]

- SCARA robot with limited torque/force inputs

Link	1	2	3	4
$m_i$	55 Nm	45 Nm	70 N	25 Nm

- General class of systems is:

$$M(q)\ddot{q} + C(q, \dot{q})\dot{q} + h(q) = \text{sat}(u)$$



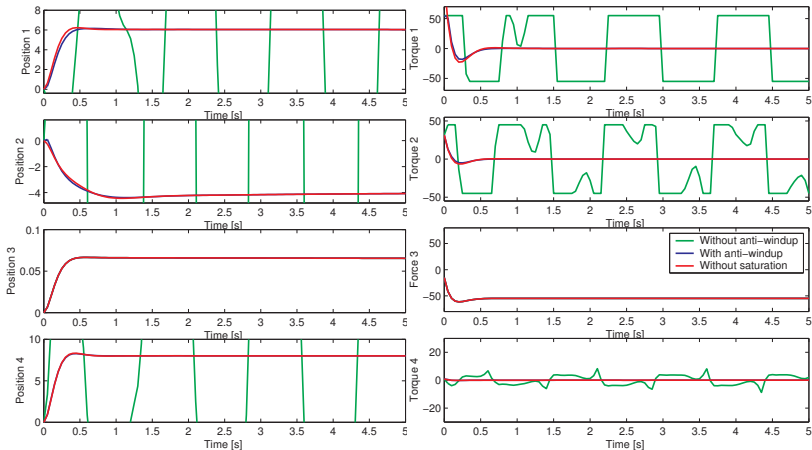
$P$	$I$	$D$
121	7.5	17.8
30	10	8.2
150	1	24.7
150	0.5	20.1

- Feedback linearizing controller+PID action (*computed torque*) induces decoupled linear performance (for small signals)



# A slight saturation can be disastrous

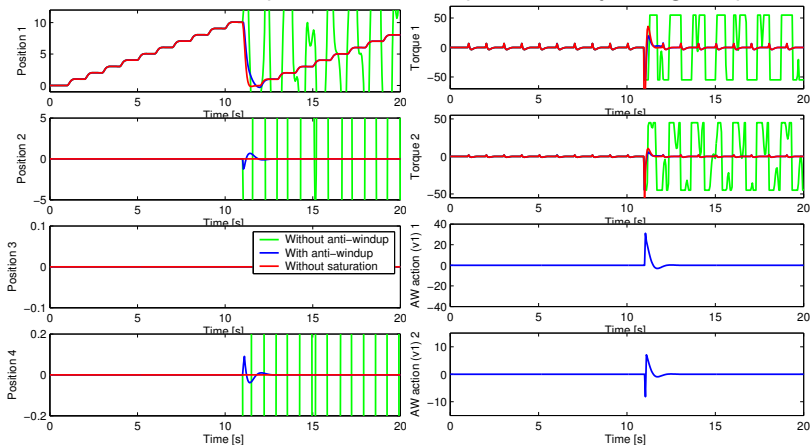
- The reference is  $r = [6 \text{ deg}, -4 \text{ deg}, 4 \text{ cm}, 8 \text{ deg}]$



- Stability is recovered, performance is almost fully preserved

# Anti-windup injects signals and then fades out

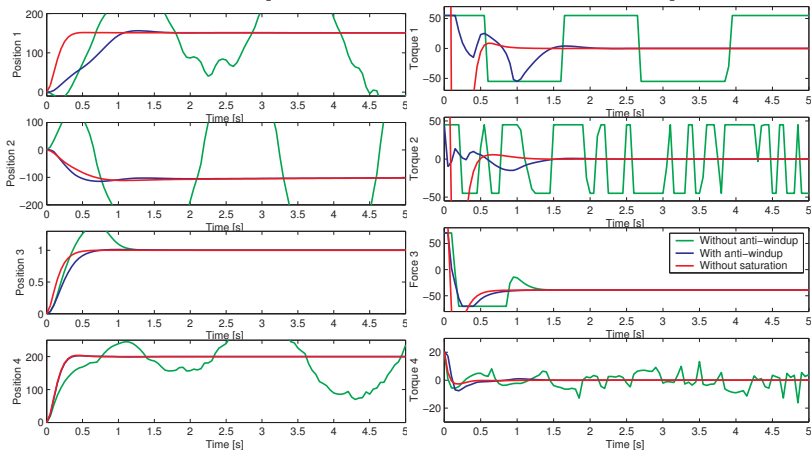
- The reference is a sequence of little step followed by a large step



- The anti-windup action dies away to recover the unconstrained closed-loop

# SCARA: large signals (nonlinear stabilizer $v$ )

- The reference is  $r = [150 \text{ deg}, -100 \text{ deg}, 1 \text{ m}, 200 \text{ deg}]$

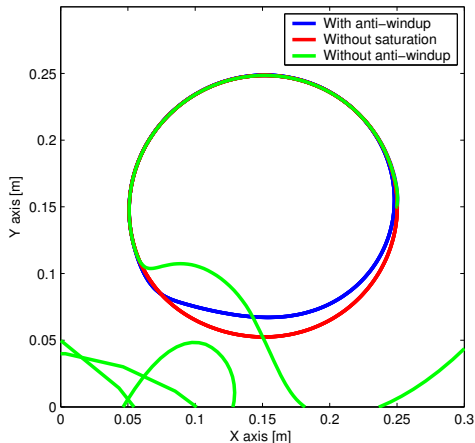
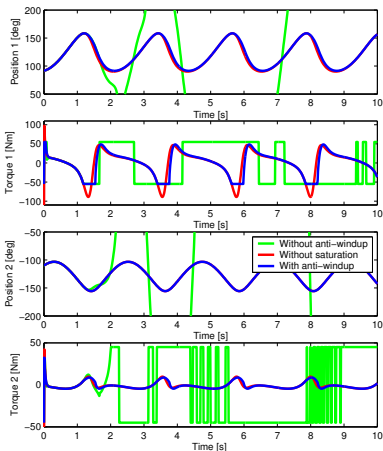


- Performance is dramatically improved (input authority is almost fully exploited)

# MRAW intrinsically addresses tracking recovery

Example: a **SCARA robot** (planar robot) following a circular motion

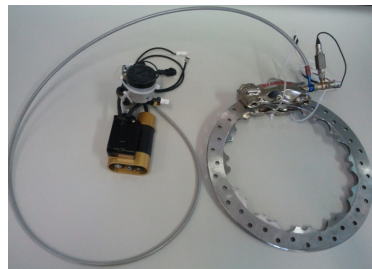
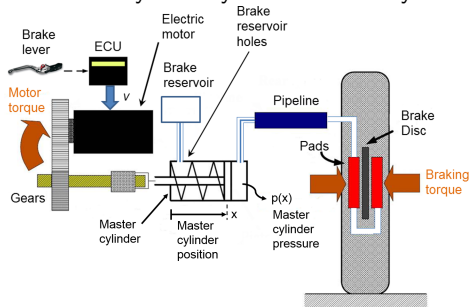
- Saturated “computed torque” controller goes postal (unstable)
- Nonlinear MRAW provides slight performance degradation



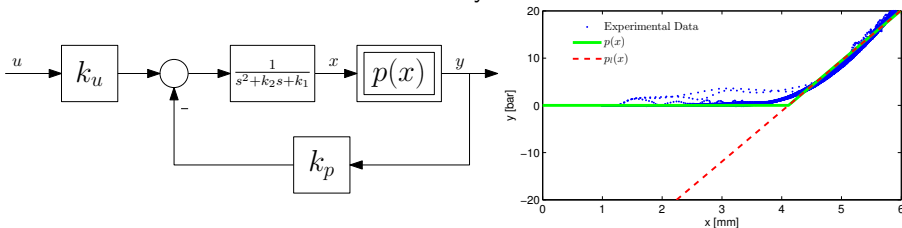
# Nonlinear anti-windup for a Brake By Wire System

Todeschini et al. [2016]

- Brake-by-wire system in motorcycles corresponds to a nonlinear plant

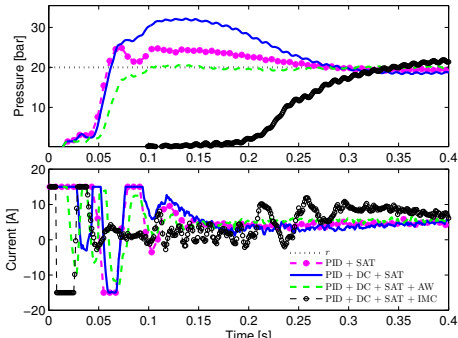
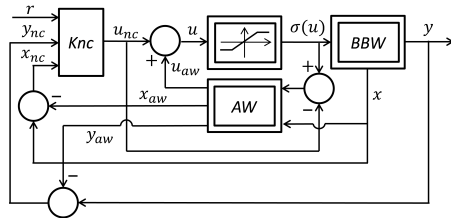
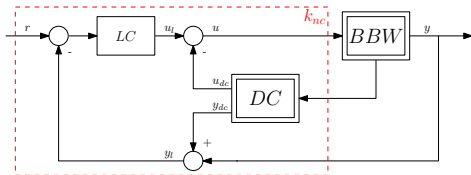


- The main nonlinear effect can be easily isolated in the model:



# BBW solution uses nonlinear MRAW

- “Deadzone compensation” scheme provides **nonlinear** baseline controller
- **Fully Nonlinear anti-windup** addresses saturation with **nonlinear plant** and **nonlinear controller**



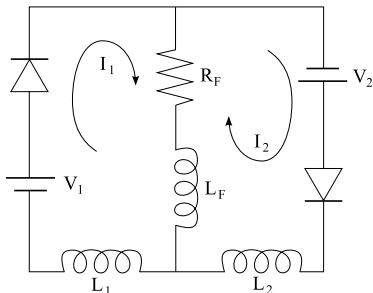
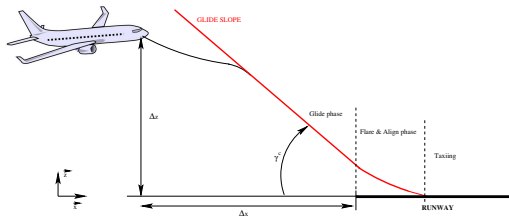
- Step response reveals successful anti-windup action
- Driver would get confused by large overshoots
- Alternative existing solutions (nonlinear IMC-based anti-windup) are unacceptably slow (black)

# Anti-windup designs apply to additional applications

Vitelli et al. [2010]

## Image-based visual servoing

- Relevant for plane landing
  - follow reference glide slope
  - position measurement scaled by unknown factor
- **Challenge:** plant is uncertain (need robust approach)



## Small signal nonlinearity compensation in high-power circulating current amps

- Thyristors have a min current threshold:
  - below the threshold: circulating current
  - this generates an undesired nonlinearity
  - possibly destabilizing outer feedback
- **Challenge:** reverse anti-windup problem

# Conclusions and outlook

- ▷ **Summary** of the proposed Model Recovery Anti-Windup in Galeani et al. [2009], Zaccarian and Teel [2011]
- ▷ **Model-Recovery anti-windup** schemes
  - Baseline ideas Teel and Kapoor [1997], Zaccarian and Teel [2002]
  - Bumpless transfer extensions Zaccarian and Teel [2005]
  - Generalizations to rate and curvature saturations Forni et al. [2010, 2012]
  - Dead-time plants (input delays) Zaccarian et al. [2005]
- ▷ **MRAW Applications** discussed in this talk:
  - Linear MRAW: Flight Control Barbu et al. [2005], Vibration isolation Teel et al. [2006], Open Water Channels Zaccarian et al. [2007], Control of power converters Vitelli et al. [2010]
  - Nonlinear MRAW: Control of Euler-Lagrange systems Morabito et al. [2004], control of Break-by-wire systems Todeschini et al. [2016], Image-based servoing.

## Modern Anti-windup Synthesis

*Control Augmentation for Actuator Saturation*



Luca Zaccarian and  
Andrew R. Teel



# Bibliography I

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