# KRR3: Inference in First-order logic 2

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### Outline

Backward chaining

2 Resolution

# Substitution and Composition

#### Definition

Given p a sentence and  $\theta_1, \theta_2$  two substitutions, the composition of  $\theta_1$  and  $\theta_2$  is the substitution  $\theta = \mathsf{COMPOSE}(\theta_1, \theta_2)$  such that:

 $\mathsf{SUBST}(\theta, p) = \mathsf{SUBST}(\theta_1, \mathsf{SUBST}(\theta_2, p)) = \mathsf{SUBST}(\theta_2, \mathsf{SUBST}(\theta_1, p))$ 

### Example

```
Sentence p is P(y) \land Q(x) \Rightarrow R(z)
Consider \theta_1 = \{y/\textit{Toto}, z/\textit{Titi}\}, \ \theta_2 = \{x/\textit{Tata}\}
SUBST(\theta_1, p) = P(\textit{Toto}) \land Q(x) \Rightarrow R(\textit{Titi})
SUBST(\theta_2, p) = P(y) \land Q(\textit{Tata}) \Rightarrow R(z)
SUBST(COMPOSE(\theta_1, \theta_2), p) = P(\textit{Toto}) \land Q(\textit{Tata}) \Rightarrow R(\textit{Titi})
```

# Backward chaining: main idea

#### **Definition**

Given a definite clause  $p_1 \wedge \cdots \wedge p_n \Rightarrow c$ , c is called the Head.  $p_1 \wedge \cdots \wedge p_n$  is called the Body.

### BC Idea

Goal-driven algorithm.

- Unification of the goal with the head of a rule
- Propagation of the substitution to the body. Every premise of the body is a new goal
- Apply BC recursively on the new goals...

Based on a depth-first search (DFS).

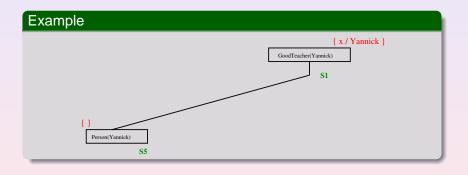
## Backward chaining: algorithm

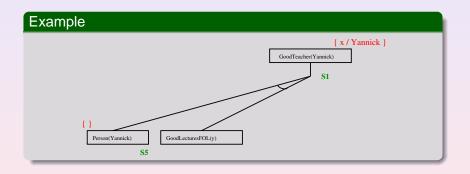
```
function FOL-BC-Ask(KB, goals, \theta) returns a set of substitutions
   inputs: KB, a knowledge base
              goals, a list of conjuncts forming a query (\theta already applied)
              \theta, the current substitution, initially the empty substitution \{\}
   local variables: answers, a set of substitutions, initially empty
   if qoals is empty then return \{\theta\}
   q' \leftarrow \text{SUBST}(\theta, \text{FIRST}(qoals))
   for each sentence r in KB
              where STANDARDIZE-APART(r) = (p_1 \land \ldots \land p_n \Rightarrow q)
              and \theta' \leftarrow \text{UNIFY}(q, q') succeeds
        new\_goals \leftarrow [p_1, \ldots, p_n | REST(goals)]
         answers \leftarrow FOL\text{-BC-Ask}(KB, new\_qoals, Compose(\theta', \theta)) \cup answers
   return answers
```

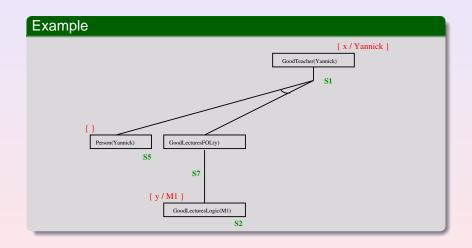
```
Example

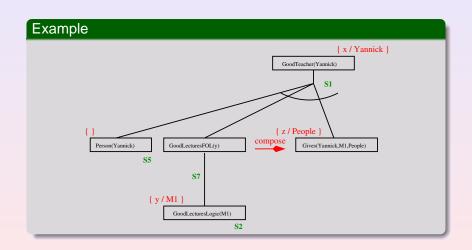
{ x / Yannick }

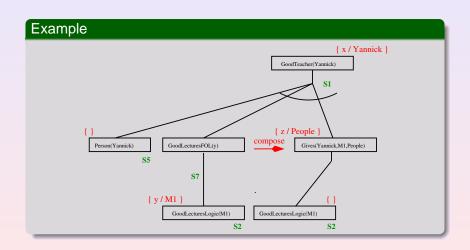
GoodTeacher(Yannick)
```

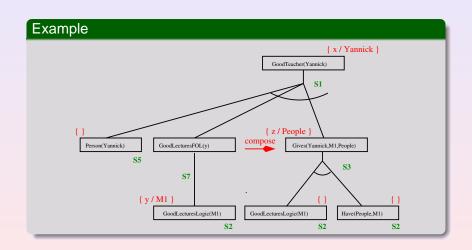


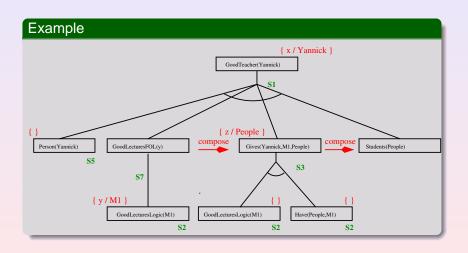


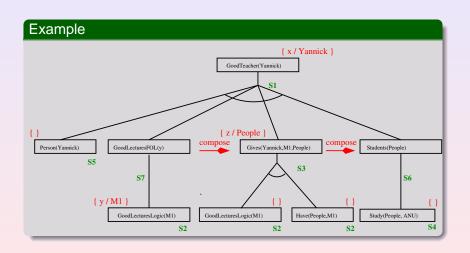


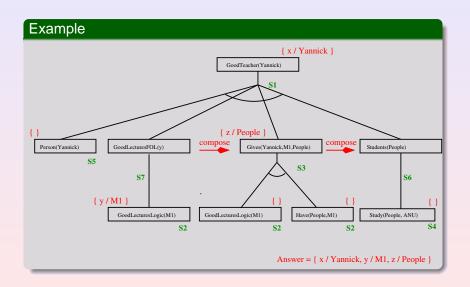












### Properties of BC

Depth-first recursive proof search: space is linear in size of the proof.

Incomplete due to infinite loops (DFS). To fix that, we have to check the current goal against every goal in the stack.

Inefficient due to repeated subgoals. To fix that we must use a cache of previous results (memoization)

So what? If BC is not so good, why do we talk about it? Well, it is widely used and with good optimisations it works! (linear algorithm): PROLOG

### Outline

Backward chaining

2 Resolution

### Example

Everyone who loves all animals is loved by someone. Anyone who kills an animal is loved by no one. Jack loves all animals. Either Jack or Curiosity killed the cat, who is named Tuna.

Did Curiosity kill the cat?

### Example

"Everyone who loves all animals is loved by someone."

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 $\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$ 

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$$\forall x[\exists y Animal(y) \land Kills(x, y)] \Rightarrow [\forall z \neg Loves(z, x)]$$

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$$\forall x \ Animal(x) \Rightarrow Loves(Jack, x)$$

"Either Jack or Curiosity killed the cat, who is named Tuna"

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"Either Jack or Curiosity killed the cat, who is named Tuna"

Kills(Jack, Tuna) ∨ Kills(Curiosity, Tuna)

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Tuna is a cat

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Tuna is a cat

Cat(Tuna)

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Cat(Tuna)

A cat is an animal

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$$\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$$

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"Jack loves all animals"

$$\forall x \ Animal(x) \Rightarrow Loves(Jack, x)$$

"Either Jack or Curiosity killed the cat, who is named Tuna"

Tuna is a cat

Cat(Tuna)

A cat is an animal

 $\forall x \ Cat(x) \Rightarrow Animal(x)$ 

### Example

"Everyone who loves all animals is loved by someone."

$$\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$$

"Anyone who kills an animal is loved by no one.."

$$\forall x[\exists y Animal(y) \land \textit{Kills}(x,y)] \Rightarrow [\forall z \neg Loves(z,x)]$$

"Jack loves all animals"

$$\forall x \ Animal(x) \Rightarrow Loves(Jack, x)$$

"Either Jack or Curiosity killed the cat, who is named Tuna"

Tuna is a cat

Cat(Tuna)

A cat is an animal

$$\forall x \ Cat(x) \Rightarrow Animal(x)$$

Question: Did Curiosity kill the cat?

### Example

"Everyone who loves all animals is loved by someone."

$$\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$$

"Anyone who kills an animal is loved by no one.."

$$\forall x[\exists y Animal(y) \land Kills(x, y)] \Rightarrow [\forall z \neg Loves(z, x)]$$

"Jack loves all animals"

$$\forall x \ Animal(x) \Rightarrow Loves(Jack, x)$$

"Either Jack or Curiosity killed the cat, who is named Tuna"

$$Kills(Jack, Tuna) \lor Kills(Curiosity, Tuna)$$

Tuna is a cat

Cat(Tuna)

A cat is an animal

$$\forall x \ Cat(x) \Rightarrow Animal(x)$$

Question: Did Curiosity kill the cat?

Kills (Curiosity, Tuna)

# Conjunctive Normal Form for FOL

### Conjuntive Normal Form

A sentence in a Conjunctive Normal Form is a conjunction of clauses, each clause is a disjunction of literals.

### **Property**

Every sentence in FOL (without equality) is logically equivalent to a FOL-CNF sentence.

### Example

"Everyone who loves all animals is loved by someone"  $\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$ 

has the following CNF  $[Animal(F(x)) \lor Loves(G(x), x)] \land [\neg Loves(x, F(x)) \lor Loves(G(x), x)].$ 

### Conversion to CNF

### Method

Elimination of implications

• 
$$A \Rightarrow B \equiv \neg A \lor B$$

2 Move ¬ inwards

Standardize variables

Skolemisation

Orop the universal quantifiers

Oistribute ∨ over ∧

### Move ¬ inwards and variable standardization

### Rules for negated quantifiers

$$\neg \forall x \ p \equiv \exists x \ \neg p$$

$$\neg \exists x \ p \equiv \forall x \ \neg p$$

### Variable standardization

$$(\forall x \ P(x)) \lor (\exists x \ Q(x))$$

*x* is used twice but it does not represent the same thing (two diffrent scopes). To avoid confusion, we rename:

$$(\forall x \ P(x)) \lor (\exists y \ Q(y))$$

### Skolemization

### Definition

Skolemisation is the process of removing existential quantifiers by elimination.

- Simple case = Existential Instanciation
- Complex case = Use of Skolem functions

### Example

Simple case:  $\exists x \ P(x)$ Using EI, we have: P(A)

Complex case:  $\forall x [\exists y P(x, y)]$ 

Using EI, we have:  $\forall x P(x, A)$  wrong

Use of a Skolem function F(x):  $\forall x \ P(x, F(x))$ 

(y in is the scope of x)

### Example

 $\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$ 

### Example

 $\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x, y)] \Rightarrow [\exists y \ Loves(y, x)]$ 

Eliminate implications:

 $\forall x \ [\neg \forall y \ \neg Animal(y) \lor Loves(x,y)] \lor [\exists y \ Loves(y,x)]$ 

```
\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]
```

- Iliminate implications:  $\forall x \ [\neg \forall y \ \neg Animal(y) \lor Loves(x, y)] \lor [\exists y \ Loves(y, x)]$
- 2 Move ¬ inwards
  - $\forall x \ [\exists y \ \neg(\neg Animal(y) \lor Loves(x,y))] \lor [\exists y \ Loves(y,x)]$

```
\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]
```

- Eliminate implications:  $\forall x \ [\neg \forall y \ \neg Animal(y) \lor Loves(x,y)] \lor [\exists y \ Loves(y,x)]$
- 2 Move ¬ inwards
  - $\forall x [\exists y \neg (\neg Animal(y) \lor Loves(x, y))] \lor [\exists y Loves(y, x)]$
  - $\forall x \ [\exists y \ \neg\neg Animal(y) \land \neg Loves(x,y)] \lor [\exists y \ Loves(y,x)]$  (De Morgan)

```
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  - ∀x [∃y Animal(y) ∧ ¬Loves(x, y)] ∨ [∃y Loves(y, x)]
     (double negation)

```
\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]
```

- **1** Eliminate implications:  $\forall x \ [\neg \forall y \ \neg Animal(y) \lor Loves(x, y)] \lor [\exists y \ Loves(y, x)]$
- 2 Move ¬ inwards
  - $\forall x [\exists y \neg (\neg Animal(y) \lor Loves(x, y))] \lor [\exists y Loves(y, x)]$
  - $\forall x \ [\exists y \ \neg\neg Animal(y) \land \neg Loves(x,y)] \lor [\exists y \ Loves(y,x)]$  (De Morgan)
  - $\forall x \ [\exists y \ Animal(y) \land \neg Loves(x, y)] \lor [\exists y \ Loves(y, x)]$  (double negation)
- Standardize variables:
  ∀x [∃y Animal(y) ∧ ¬Loves(x, y)] ∨ [∃z Loves(z, x)]

### Example

 $\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$ 

- Eliminate implications:  $\forall x \ [\neg \forall y \ \neg Animal(y) \lor Loves(x,y)] \lor [\exists y \ Loves(y,x)]$
- 2 Move ¬ inwards
  - $\forall x [\exists y \neg (\neg Animal(y) \lor Loves(x, y))] \lor [\exists y Loves(y, x)]$
  - ∀x [∃y ¬¬Animal(y) ∧ ¬Loves(x, y)] ∨ [∃y Loves(y, x)] (De Morgan)
  - $\forall x \ [\exists y \ Animal(y) \land \neg Loves(x, y)] \lor [\exists y \ Loves(y, x)]$  (double negation)
- 3 Standardize variables:  $\forall x \ [\exists y \ Animal(y) \land \neg Loves(x, y)] \lor [\exists z \ Loves(z, x)]$
- **③** Skolemization:  $\forall x \ [Animal(F(x)) \land \neg Loves(x, F(x))] \lor [Loves(G(x), x)]$

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\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]
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- Eliminate implications:  $\forall x \ [\neg \forall y \ \neg Animal(y) \lor Loves(x, y)] \lor [\exists y \ Loves(y, x)]$
- 2 Move ¬ inwards
  - $\forall x [\exists y \neg (\neg Animal(y) \lor Loves(x, y))] \lor [\exists y Loves(y, x)]$
  - $\forall x \ [\exists y \ \neg\neg Animal(y) \land \neg Loves(x,y)] \lor [\exists y \ Loves(y,x)]$  (De Morgan)
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- **③** Standardize variables:  $\forall x [\exists y \ Animal(y) \land \neg Loves(x, y)] \lor [\exists z \ Loves(z, x)]$
- **③** Skolemization:  $\forall x \ [Animal(F(x)) \land \neg Loves(x, F(x))] \lor [Loves(G(x), x)]$
- To puniversal quantifiers:  $[Animal(F(x)) \land \neg Loves(x, F(x))] \lor [Loves(G(x), x)]$

### Example

 $\forall x \ [\forall y \ Animal(y) \Rightarrow Loves(x,y)] \Rightarrow [\exists y \ Loves(y,x)]$ 

- Eliminate implications:  $\forall x \ [\neg \forall y \ \neg Animal(y) \lor Loves(x, y)] \lor [\exists y \ Loves(y, x)]$
- 2 Move ¬ inwards
  - $\forall x [\exists y \neg (\neg Animal(y) \lor Loves(x, y))] \lor [\exists y Loves(y, x)]$
  - $\forall x \ [\exists y \ \neg\neg Animal(y) \land \neg Loves(x,y)] \lor [\exists y \ Loves(y,x)]$  (De Morgan)
  - $\forall x \ [\exists y \ Animal(y) \land \neg Loves(x, y)] \lor [\exists y \ Loves(y, x)]$  (double negation)
- **Standardize variables:**  $\forall x \ [\exists y \ Animal(y) \land \neg Loves(x, y)] \lor [\exists z \ Loves(z, x)]$
- **③** Skolemization:  $\forall x \ [Animal(F(x)) \land \neg Loves(x, F(x))] \lor [Loves(G(x), x)]$
- 5 Drop universal quantifiers:  $[Animal(F(x)) \land \neg Loves(x, F(x))] \lor [Loves(G(x), x)]$
- **5** Distribute  $\vee$  over  $\wedge$ : [Animal(F(x))  $\vee$  Loves(G(x), x)]  $\wedge$  [ $\neg$ Loves(x, F(x))  $\vee$  Loves(G(x), x)]

### Rule

$$\begin{split} &\ell_1 \vee \dots \vee \boxed{\ell_i} \vee \dots \vee \ell_k, \qquad \ell'_1 \vee \dots \vee \boxed{\ell'_j} \vee \dots \vee \ell'_n \\ & \overline{\mathsf{SUBST}}(\theta, \ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee \ell'_1 \vee \dots \vee \ell'_{j-1} \vee \ell'_{j+1} \vee \dots \vee \ell'_n) \end{split}$$
 with  $\theta$  a substitution such that  $\mathsf{UNIFY}(\boxed{\ell_i}, \neg \boxed{\ell'_j}) = \theta$ 

$$[Animal(F(x)) \lor Loves(G(x), x)] \ [\neg Loves(u, v) \lor \neg Kills(u, v)]$$

#### Rule

$$\frac{\ell_1 \vee \dots \vee \boxed{\ell_i} \vee \dots \vee \ell_k, \qquad \ell'_1 \vee \dots \vee \boxed{\ell'_j} \vee \dots \vee \ell'_n}{\mathsf{SUBST}(\theta, \ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee \ell'_1 \vee \dots \vee \ell'_{j-1} \vee \ell'_{j+1} \vee \dots \vee \ell'_n)}$$

with  $\theta$  a substitution such that  $\mathsf{UNIFY}(\boxed{\ell_i}, \neg \boxed{\ell_j'}) = \theta$ 

$$[Animal(F(x)) \lor Loves(G(x), x)]$$
  $[\neg Loves(u, v)] \lor \neg Kills(u, v)]$ 



#### Rule

$$\frac{\ell_1 \vee \dots \vee \boxed{\ell_i} \vee \dots \vee \ell_k, \qquad \ell'_1 \vee \dots \vee \boxed{\ell'_j} \vee \dots \vee \ell'_n}{\mathsf{SUBST}(\theta, \ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee \ell'_1 \vee \dots \vee \ell'_{j-1} \vee \ell'_{j+1} \vee \dots \vee \ell'_n)}$$

with  $\theta$  a substitution such that  $\mathsf{UNIFY}(\boxed{\ell_i}, \neg \boxed{\ell_j'}) = \theta$ 

$$\frac{[\textit{Animal}(F(x)) \lor \textit{Loves}(G(x), x)] \ [\neg \textit{Loves}(u, v)] \lor \neg \textit{Kills}(u, v)]}{\mathsf{SUBST}(\theta, \textit{Animal}(F(x)) \lor \neg \textit{Kills}(u, v))}$$

#### Rule

$$\frac{\ell_1 \vee \dots \vee \boxed{\ell_i} \vee \dots \vee \ell_k, \qquad \ell'_1 \vee \dots \vee \boxed{\ell'_j} \vee \dots \vee \ell'_n}{\mathsf{SUBST}(\theta, \ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee \ell'_1 \vee \dots \vee \ell'_{j-1} \vee \ell'_{j+1} \vee \dots \vee \ell'_n)}$$

with  $\theta$  a substitution such that  $\mathsf{UNIFY}(\boxed{\ell_i}, \neg \boxed{\ell_j'}) = \theta$ 

$$\frac{[Animal(F(x)) \lor \boxed{Loves(G(x), x)}] \ [\neg Loves(u, v)] \lor \neg Kills(u, v)]}{\mathsf{SUBST}(\theta, Animal(F(x)) \lor \neg Kills(u, v))}}{\theta = \{u/\mathsf{G}(x), v/x\}}$$

#### Rule

$$\frac{\ell_1 \vee \dots \vee \boxed{\ell_i} \vee \dots \vee \ell_k, \qquad \ell'_1 \vee \dots \vee \boxed{\ell'_j} \vee \dots \vee \ell'_n}{\mathsf{SUBST}(\theta, \ell_1 \vee \dots \vee \ell_{i-1} \vee \ell_{i+1} \vee \dots \vee \ell_k \vee \ell'_1 \vee \dots \vee \ell'_{j-1} \vee \ell'_{j+1} \vee \dots \vee \ell'_n)}$$

with  $\theta$  a substitution such that  $\mathsf{UNIFY}(\boxed{\ell_i}, \lnot \boxed{\ell_j'}) = \theta$ 

$$\frac{\left[Animal(F(x)) \lor \boxed{Loves(G(x), x)}\right] \left[ \neg Loves(u, v) \right] \lor \neg Kills(u, v)}{Animal(F(x)) \lor \neg Kills(G(x), x)}$$

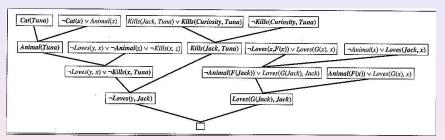
$$\theta = \{u/G(x), v/x\}$$

# Resolution algorithm

### **Definition**

**Proof by contradiction:** given *KB*, to prove  $\alpha$ , we prove that  $KB \wedge \neg \alpha$  is not satisfiable.

# Resolution: example



### Resolution Proof that Curiosity has killed the cat:

- $\neg \alpha$  is  $\neg Kills(Curiosity, Tuna)$
- Use of the factoring rule to infer *Loves*(*G*(*Jack*), *Jack*)

# Dealing with equality

### **Paramodulation**

There are several ways to deal with  $t_1 = t_2$ . One of them is Paramodulation:

$$\frac{\ell_1 \vee \dots \vee \ell_k \vee t_1 = t_2, \qquad \ell'_1 \vee \dots \vee \ell'_n[t_3]}{\mathsf{SUBST}(\theta, \ell_1 \vee \dots \vee \ell'_n[y])}$$

where

UNIFY 
$$(t_1, t_3) = \theta$$

This inference rule can be used during the resolution algorithm.

$$\frac{\textit{Father}(\textit{John}) = \textit{Father}(\textit{Richard}) \; \textit{Male}(\textit{Father}(x))}{\textit{Male}(\textit{Father}(\textit{Richard}))}$$

$$\theta = \{x/John\} = UNIFY(Father(John), Father(x))$$



## Theorem provers

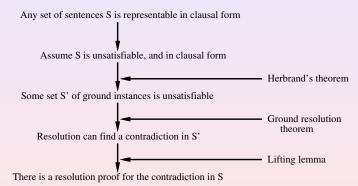
Unlike logic programming language, Theorem provers cover FOL (no restriction on Definite Clauses). Their algorithm is based on resolution. Theorem prover: OTTER

### **Optimisations**

Using the resolution algorithm in a "clever" way.

- Unit preference: Inference of sentences with a minimal number of literals (more chance to get the empty clause)
- Set of support: What is the set of clauses in KB that will be useful?
- Input resolution: Always using a sentence from KB or  $\alpha$  to apply the resolution rule.
- Subsumption: Elimination of sentences that are subsumed by (more specific than) an existing sentence in the KB.

# Completeness of resolution



# Summary

### Inference in FOL

- Propositionalisation: very slow
- Unification techniques: much more efficient
- Generalised Modus Ponens: FC and BC on Definite clauses
  - FC for deductive databases
  - BC for logic programming
- Entailement problem is semi-decidable
- Generalised resolution: complete proof system (CNF)

# To Infinity and Beyond!

#### A dream

Using theorem proving to automatically prove everything...

### A first step

To prove everything, we need to prove everything in arithmetic...

#### **Arithmetic**

Logic for arithmetic: 0, S(..),  $\times$ , +, Expt (extension of FOL, more expressive)

### Gödel's incompleteness theorem

Gödel said (after a proof on 30 pages):

"Whatever your logic is, if your logic can express arithmetic, whatever your KB is, I can exhibit a sentence in your logic such that the sentence is entailed by KB but there's no way to prove it by inference thanks to your KB"

### The reality

Sorry for the inconvenience...