

Scheduler design for event-triggered control loops

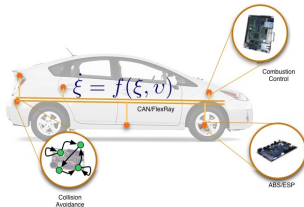
Delft University of Technology

October 26, 2016

Networked Control Systems

Minimize communication load

- To reduce energy consumption in wireless settings
- To efficiently use a communication channel for multiple control loops



Event-triggered control “promises” to solve these problems...

Efficient communications usage

Alternative controller implementations:

- **Periodic:** Controller updated in a periodic fashion
- **Event-triggered:** Controller updated when pre-designed conditions are violated (detected at the sensors)
- **Self-triggered:** Controller updated time dependent on the last measurement obtained (emulate event-triggered)

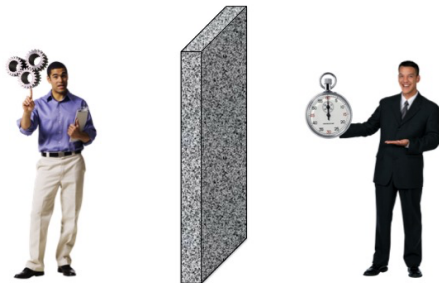
Pros/Cons:

- **Periodic:** Wasteful, easy implementation/scheduling, robust
- **Event-triggered:** Efficient communications use, robust, hard to schedule
- **Self-triggered:** Efficient communications use, easy scheduling, fragile to disturbances

Effective scheduling is critical for network sharing and communication's energy efficiency (in wireless systems).

Our objective: ease the scheduling of event-triggered controllers.

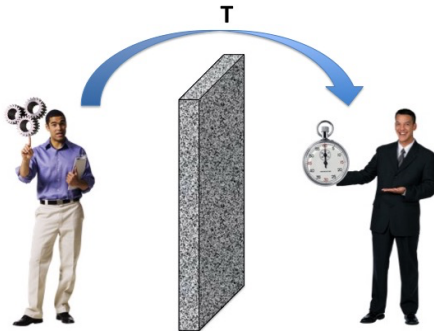
A separation of concerns



- Control engineers design controllers
- Real-time engineers design/implement the scheduling

Which information do they exchange?

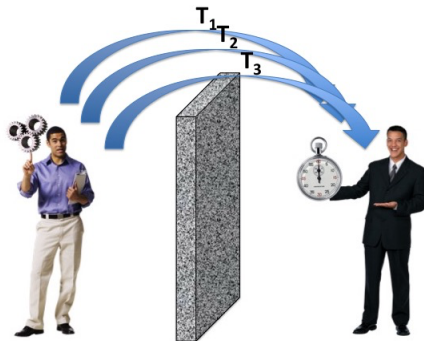
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Periodic: T

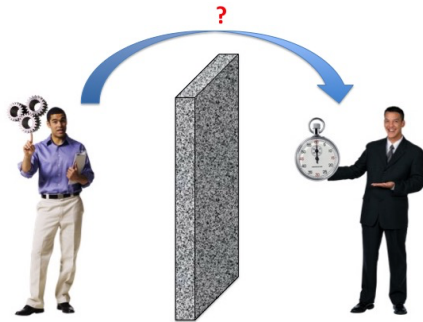
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Self-triggered: T_k

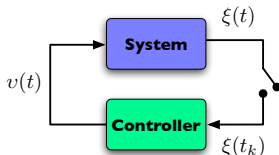
A separation of concerns



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Event-triggered: ?

Event-triggered LTI control systems



Sample-and-hold implementation:

$$\dot{\xi}(t) = A\xi(t) + Bv(t), \quad \xi(t) \in \mathbb{R}^n, v(t) \in \mathbb{R}^m \quad (1)$$

$$v(t) = v(t_k) = K\xi(t_k), \quad \forall t \in [t_k, t_{k+1}), \quad k \in \mathbb{N}. \quad (2)$$

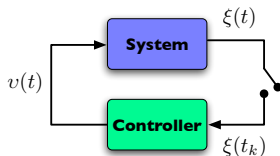
with update times determined by a triggering condition:

$$t_{k+1} = \inf\{t > t_k \mid |e(t)|^2 \geq \alpha|\xi(t)|^2\}, \quad \alpha \in \mathbb{R}^+,$$

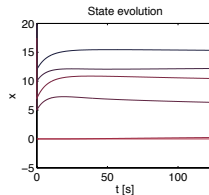
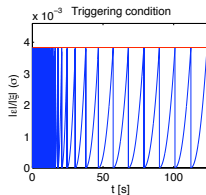
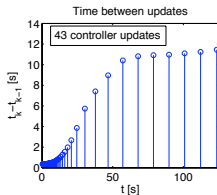
$$e(t) := \xi(t_k) - \xi(t), \quad t \in [t_k, t_{k+1}[$$

(all what follows can be extended to any quadratic form on ξ and e)

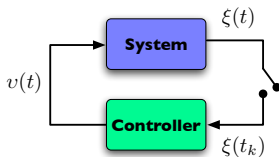
Event-triggered LTI control systems



$$t_{k+1} = \inf\{t > t_k \mid |e(t)|^2 \geq \alpha |\xi(t)|^2\}, \quad \alpha \in \mathbb{R}^+,$$



Event-triggered LTI control systems



Sampling interval associated to state x :

$$\tau(x) := \min\{t \mid |e_x(t)|^2 \geq \alpha |\xi_x(t)|^2, \xi_x(0) = x\}. \quad (3)$$

The state-dependent sampling law can be reformulated as:

$$\tau(x) = \min\{\sigma > 0 \mid x^T \Phi(\sigma) x = 0\}, \quad (4)$$

where

$$\Phi(\sigma) = [I - \Lambda^T(\sigma)][I - \Lambda(\sigma)] - \alpha \Lambda^T(\sigma) \Lambda(\sigma),$$

$$\text{and } \Lambda(\sigma) = [I + \int_0^\sigma e^{Ar} dr (A + BK)].$$

Systems

Definition (System)

A system is a sextuple $(X, X_0, U, \longrightarrow, Y, H)$ consisting of:

- a set of states X ;
- a set of initial states $X_0 \subseteq X$;
- a set of inputs U ;
- a transition relation $\longrightarrow \subseteq X \times U \times X$;
- a set of outputs Y ;
- an output map $H : X \rightarrow Y$.

A system is said to be finite if X is a finite countable set, and autonomous if $|U| \leq 1$.

Systems

Definition (Power Quotient System)

Let $S = (X, X_0, \emptyset, \longrightarrow, Y, H)$ be an autonomous system and $R \subseteq X \times X$ be an equivalence relation on X . The power quotient of S by R , denoted by $S_{/R}$, is the autonomous system $(X_{/R}, X_{/R,0}, \emptyset, \xrightarrow{/R}, Y_{/R}, H_{/R})$ consisting of:

- $X_{/R} = X/R$;
- $X_{/R,0} = \{x_{/R} \in X_{/R} \mid x_{/R} \cap X_0 \neq \emptyset\}$;
- $(x_{/R}, u, x'_{/R}) \in \xrightarrow{/R}$ if $\exists (x, u, x') \in \longrightarrow$ with $x \in x_{/R}$ and $x' \in x'_{/R}$;
- $Y_{/R} \subset 2^Y$;
- $H_{/R}(x_{/R}) = \bigcup_{x \in x_{/R}} H(x)$.

Systems

Definition (Power Quotient System)

Let $S = (X, X_0, \emptyset, \longrightarrow, Y, H)$ be an autonomous system and $R \subseteq X \times X$ be an equivalence relation on X . The power quotient of S by R , denoted by S/R , is the autonomous system $(X/R, X/R_0, \emptyset, \xrightarrow{/R}, Y/R, H/R)$ consisting of:

- $X/R = X/R$;
- $X/R_0 = \{x/R \in X/R \mid x/R \cap X_0 \neq \emptyset\}$;
- $(x/R, u, x'/R) \in \xrightarrow{/R}$ if $\exists (x, u, x') \in \longrightarrow$ with $x \in x/R$ and $x' \in x'/R$;
- $Y/R \subset 2^Y$;
- $H/R(x/R) = \bigcup_{x \in x/R} H(x)$.

Lemma

S/R ε -approximately simulates S , i.e. $S \preceq_S^\varepsilon S/R$, for any

$$\varepsilon \geq \max_{\substack{x \in x/R \\ x/R \in X/R}} d(H(x), H/R(x/R)),$$

with d the Hausdorff distance over the set 2^Y .

The timing system

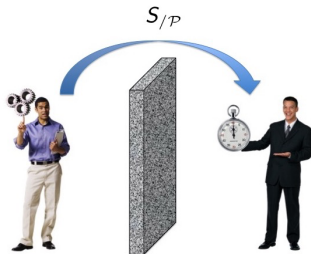
The following system produces as output sequences all possible sequences of inter-sample intervals generated by the event-triggered controller.

$$S = (X, X_0, \emptyset, \longrightarrow, Y, H)$$

- $X = \mathbb{R}^n$;
- $X_0 = \mathbb{R}^n$;
- $(x, x') \in \longrightarrow$ iff $\xi_x(\tau(x)) = x'$ given by (1)-(3);
- $Y \subset \mathbb{R}^+$;
- $H : \mathbb{R}^n \rightarrow \mathbb{R}^+$ where $H(x) = \tau(x)$.

Problem: Could we construct a finite system capturing the relevant information of S for scheduler design?

Finite abstractions of the timing system



$S/P = (X/P, X/P,0, \emptyset, \xrightarrow{/P}, Y/P, H/P)$ where

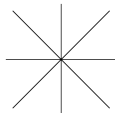
- $X/P = \mathbb{R}_{/P}^n := \{\mathcal{R}_1, \dots, \mathcal{R}_q\};$
- $X/P,0 = \mathbb{R}_{/P}^n;$
- $(x/P, x'/P) \in \xrightarrow{/P}$ if $\exists x \in x/P, \exists x' \in x'/P$ such that $\xi_x(H(x)) = x';$
- $Y/P \subset \mathbb{IR}^+ \subset 2^Y$, where \mathbb{IR}^+ is the set of closed intervals $[a, b]$ such that $0 < a \leq b;$
- $H/P(x/P) = [\min_{x \in x/P} H(x), \max_{x \in x/P} H(x)] := [\underline{\tau}_{x/P}, \bar{\tau}_{x/P}].$

Note: We actually construct "over-approximations" of this symbolic system

Finite abstractions: State set

Remark

All states, excluding the origin, which lie on a line that goes through the origin, have the same inter-sample time, i.e. $\tau(x) = \tau(\lambda x)$, $\forall \lambda \neq 0$.

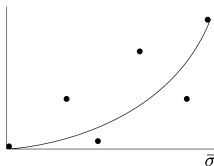


This motivates defining \mathcal{R}_s as **convex polyhedral cones pointed at the origin** (union of rays):

$$\left\{ \begin{array}{ll} \{x \in \mathbb{R}^2 \mid x^T Q_s x \leq 0\} & \text{if } n = 2 \\ \{x \in \mathbb{R}^n \mid E_s^T x \leq 0\} & \text{if } n \geq 3 \end{array} \right. , \quad (5)$$

Finite abstractions: Output set and map (I)

We compute a time interval $[\underline{\tau}_s, \bar{\tau}_s]$ such that $\forall x \in \mathcal{R}_s, \tau(x) \in [\underline{\tau}_s, \bar{\tau}_s]$.



Lemma

Let $s \in \{1, \dots, q\}$, and consider a time bound $\underline{\tau}_s \in (0, \bar{\sigma}]$. One can construct a finite set of matrices $\Phi_{(i,j),s}$ such that if $x^T \Phi_{(i,j),s} x \leq 0$ then:

$$x^T \Phi(\sigma) x \leq 0, \quad \forall \sigma \in [0, \underline{\tau}_s]$$

Lemma

Let $s \in \{1, \dots, q\}$, and consider a time bound $\bar{\tau}_s \in [\underline{\tau}_s, \bar{\sigma}]$. One can construct a finite set of matrices $\bar{\Phi}_{(i,j),s}$ such that if $x^T \bar{\Phi}_{(i,j),s} x \geq 0$ then:

$$x^T \Phi(\sigma) x \geq 0, \quad \forall \sigma \in [\bar{\tau}_s, \bar{\sigma}]$$

Finite abstractions: Output set and map (II)

Applying the S-procedure:

Theorem (Regional Lower Bound Approximation [FIT12])

Consider a scalar $\underline{\tau}_s \in (0, \bar{\sigma}]$ and matrices $\Phi_{\kappa,s}$, $\kappa = (i, j) \in \mathcal{K}_s$, as in Lemma 4. If there exist scalars $\underline{\varepsilon}_{\kappa,s} \geq 0$ (for $n = 2$) or symmetric matrices $\underline{U}_{\kappa,s}$ with nonnegative entries (for $n \geq 3$) such that for all $\kappa \in \mathcal{K}_s$ the following LMIs hold:

$$\begin{cases} \Phi_{\kappa,s} + \underline{\varepsilon}_{\kappa,s} Q_s \preceq 0 & \text{if } n = 2 \\ \Phi_{\kappa,s} + E_s^T \underline{U}_{\kappa,s} E_s \preceq 0 & \text{if } n \geq 3 \end{cases},$$

the inter-sample time (3) of the system (1)-(2) is regionally bounded from below by $\underline{\tau}_s$, $\forall x \in \mathcal{R}_s$.

Theorem (Regional Upper Bound Approximation)

Consider a scalar $\bar{\tau}_s \in [\underline{\tau}_s, \bar{\sigma}]$ and matrices $\bar{\Phi}_{\kappa,s}$, $\kappa = (i, j) \in \mathcal{K}_s$, defined as in Lemma 5. If there exist scalars $\bar{\varepsilon}_{\kappa,s} \geq 0$ (for $n = 2$) or symmetric matrices $\bar{U}_{\kappa,s}$ with nonnegative entries (for $n \geq 3$) such that for all $\kappa \in \mathcal{K}_s$ the following LMIs hold:

$$\begin{cases} \bar{\Phi}_{\kappa,s} - \bar{\varepsilon}_{\kappa,s} Q_s \succeq 0 & \text{if } n = 2 \\ \bar{\Phi}_{\kappa,s} - E_s^T \bar{U}_{\kappa,s} E_s \succeq 0 & \text{if } n \geq 3 \end{cases},$$

the inter-sample time (3) of the system (1)-(2) is regionally bounded from above by $\bar{\tau}_s$, $\forall x \in \mathcal{R}_s$.

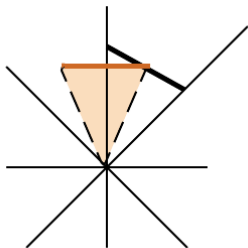
Finite abstractions: Transition relation

Compute an over-approximation ($\bar{\mathcal{X}}_{[I_s, \bar{I}_s]}(x/R)$) of:

$$\mathcal{X}_{[I_s, \bar{I}_s]}(X_s) := \{x' \in \mathbb{R}^n \mid \exists x \in X_s, \exists \tau \in [I_s, \bar{I}_s], x' = \xi_x(\tau)\}$$

$$\mathcal{X}_{[I_s, \bar{I}_s]}(x/R) \subseteq \bar{\mathcal{X}}_{[I_s, \bar{I}_s]}(x/R).$$

Then $(x/R, x'/R) \in \xrightarrow{/R}$ if $\bar{\mathcal{X}}_{[I_s, \bar{I}_s]}(x/R) \cap x'/R \neq \emptyset$.



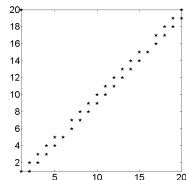
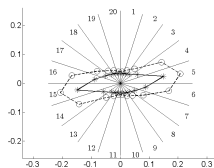
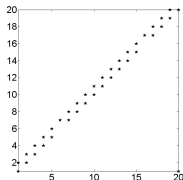
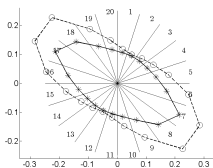
Examples

$$\dot{\xi} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} \xi + \begin{bmatrix} 0 \\ 1 \end{bmatrix} v, \quad v = [1 \quad -4] \xi.$$

(6)

$$\dot{\xi} = \begin{bmatrix} -0.5 & 0 \\ 0 & 3.5 \end{bmatrix} \xi + \begin{bmatrix} 1 \\ 1 \end{bmatrix} v, \quad v = [1.02 \quad -5.62]$$

(7)



[TAB07] P. Tabuada, TAC07

[HET11] L. Hetel, et al, TAC11

Scheduling with Timed-Automata

Observe that the system $S_{/P}$:

- 1 remains at $x_{/P}$ during the time interval $[0, \mathcal{I}_{x_{/P}}[$,
- 2 possibly leaves $x_{/P}$ during the time interval $[\mathcal{I}_{x_{/P}}, \bar{\tau}_{x_{/P}}[$ (Guards),
- 3 is forced to leave $x_{/P}$ at $\bar{\tau}_{x_{/P}}$ (Invariants).

making $S_{/P}$ **semantically equivalent to a Timed Automata (TA)**.

One can then **leverage TA tools to synthesize schedulers** for multiple Event-Triggered Control (ETC) loops by:

- 1 Construct the TA related to each ETC
- 2 Enrich these automata with controllable actions (e.g. forcing early triggering)
- 3 Construct a simple model for network access
- 4 Compose the enriched TA (a TGA) with the network model and solve safety synthesis problems

Timed-Automata

Definition (Timed Automaton)

A timed automaton TA is a sextuple $(L, \ell_0, \text{Act}, C, E, \text{Inv})$ where

- L is the set of finitely many locations (or nodes);
- $\ell_0 \in L$ is the initial location;
- Act is the set of finitely many actions;
- C is the set of finitely many real-valued clocks;
- $E \subseteq L \times \mathcal{B}(C) \times \text{Act} \times 2^C \times L$ is the set of edges;
- $\text{Inv} : L \rightarrow \mathcal{B}(C)$ assigns invariants to locations.

where $\mathcal{B}(C)$ to denote the set of clock constraints.

The states of a TA are pairs of locations ℓ and clock assignments u . The transitions can be:

- **Delayed transition:** $(\ell, u) \xrightarrow{d}_{TS} (\ell, u + d)$ if $u \models \text{Inv}(\ell)$ and $(u + d) \models \text{Inv}(\ell)$ for a non-negative real number $d \in \mathbb{R}_{\geq 0}$;
- **Discrete transition:** $(\ell, u) \xrightarrow{a}_{TS} (\ell', u')$ if $\ell \xrightarrow{g, a, r} \ell'$, $u \models g$, $u' = u[r]$ and $u' \models \text{Inv}(\ell')$.

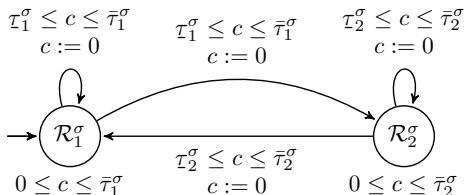
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Timed Game Automata

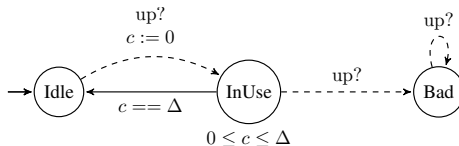
Definition (Timed Game Automaton)

A timed game automaton TGA is a septuple $(L, \ell_0, \text{Act}_c, \text{Act}_u, C, E, \text{Inv})$ where $(L, \ell_0, \text{Act}_c \cup \text{Act}_u, C, E, \text{Inv})$ is a timed automaton with:

- Act_c is the set of controllable actions;
- Act_u is the set of uncontrollable actions;
- $\text{Act}_c \cap \text{Act}_u = \emptyset$.

We define two different types of TGA:

- TGA^{net} - Capturing the network availability behaviour
- TGA_i^{cl} - An enriched version of the TA abstraction of the event-triggered loop, with controllable actions:
 - Selection of different α triggering coefficient (performance selection)
 - Force an early deterministic update



Definition

Let Δ represent the minimum channel occupancy time, $TGA^{net} = (L^{net}, \ell_0^{net}, Act_c^{net}, Act_u^{net}, C^{net}, E^{net}, Inv^{net})$ is defined by:

- the set of locations $L^{net} = \{Idle, InUse, Bad\}$;
- the initial location $\ell_0^{net} = Idle$;
- the controllable actions $Act_c^{net} = \{*\}$;
- the uncontrollable actions $Act_u^{net} = \{up?\}$;
- the set of clock variables $C^{net} = \{c\}$;
- the set of edges
 $E^{net} = \{(Idle, true, up?, \{c\}, InUse), (InUse, c = \Delta, *, \emptyset, Idle), (InUse, true, up?, \emptyset, Bad), (Bad, true, up?, \emptyset, Bad)\}$;
- $Inv^{net}(InUse) = \{c \mid 0 \leq c \leq \Delta\}$.

(Networks of) Timed Game Automata

Definition (Parallel Composition (NTGA))

The parallel composition of TGA_1, \dots, TGA_n denoted by $TGA_1 \mid \dots \mid TGA_n$ is a timed game automaton $TGA = (L, \ell_0, \text{Act}_c, \text{Act}_u, C, E, \text{Inv})$ where

- $L = L^1 \times \dots \times L^n$;
- $\ell_0 = (\ell_0^1, \dots, \ell_0^n)$;
- $\text{Act}_c = \{*\} \cup \bigcup_{i=1}^n \{a \in \text{Act}_c^i \mid a \text{ is an internal action}\}$;
- $\text{Act}_u = \{\otimes\} \cup \bigcup_{i=1}^n \{a \in \text{Act}_u^i \mid a \text{ is an internal action}\}$;
- $C = C^1 \cup \dots \cup C^n$;
- E is defined according to the following two rules:
 - a TA makes a move on its own via its internal action: the edge is controllable iff the internal action is controllable;
 - two TA move simultaneously via a synchronizing action: the edge is controllable iff both input and output actions are controllable (i.e. the environment has priority over the controller);
- $\text{Inv}((\ell_1, \dots, \ell_n)) = \text{Inv}^1(\ell_1) \wedge \dots \wedge \text{Inv}^n(\ell_n)$.

Synthesis problem

- 1 Construct TGA_i^{cl} for each ETC loop.
- 2 Construct TGA^{net}
- 3 Construct $NTGA = TGA_1^{cl} \mid TGA_1^{cl} \mid \dots \mid TGA^{net}$
- 4 **solve safety synthesis problems over NTGA**

Synthesis problem: Design strategies to avoid visiting the NTGA set of states:

$$\mathcal{A} = \{(\ell_{net}, \ell_1, \dots, \ell_N, u_{net}, u_1, \dots, u_N) \mid \ell_{net} = Bad\}.$$

Issue: Synthesis tries to force always "early triggering".

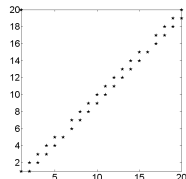
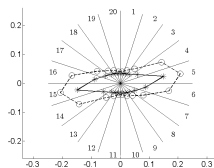
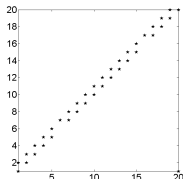
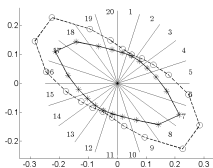
Solutions:

- Define costs of actions and find "optimal" strategies (no synthesis results available).
- Forbid the use of more than a pre-specified number of consecutive "early triggers".

Abstractions

$$\dot{\xi} = \begin{bmatrix} 0 & 1 \\ -2 & 3 \end{bmatrix} \xi + \begin{bmatrix} 0 \\ 1 \end{bmatrix} v, \quad v = [1 \quad -4] \xi. \quad (8)$$

$$\dot{\xi} = \begin{bmatrix} -0.5 & 0 \\ 0 & 3.5 \end{bmatrix} \xi + \begin{bmatrix} 1 \\ 1 \end{bmatrix} v, \quad v = [1.02 \quad -5.62] \xi. \quad (9)$$



[TAB07] P. Tabuada, TAC07

[HET11] L. Hetel, et al, TAC11

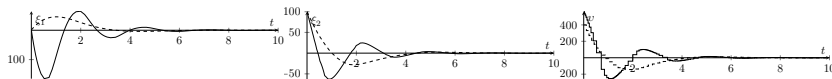
Schedule

- Channel occupancy after event: $\Delta = 0.005$ s;
- Controllable action: force an early triggering $d = 0.005$ s before \mathcal{I}_s (of each region);
- Abstractions with 200 conic regions;
- Maximum consecutive earlier triggering: 4.



Long bars = Event-triggered
Short bars = Early triggering

Top [TAB07]
Bottom [HET11]



The scheduler is synthesized on **UPAAL-Tiga** to solve the safety game [CAS05].

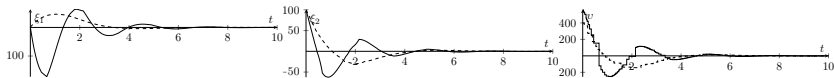
[CAS05] F. Cassez, A. David, E. Fleury, K. Larsen, and D. Lime, CONCUR'05

Schedule

- Channel occupancy after event: $\Delta = 0.005$ s;
- Controllable action: three different triggering coefficients $\alpha_1 < \alpha_2 < \alpha_3$;
- Abstractions with 200 conic regions;
- Maximum consecutive earlier triggering: 0.



Top [TAB07], Bottom [HET11]
 Short/Medium/Long bars = $\alpha_1/\alpha_2/\alpha_3$



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[CAS05] F. Cassez, A. David, E. Fleury, K. Larsen, and D. Lime, CONCUR'05

Wrap-up

We provide:

- A class of abstractions (in the form of timed-automata) for the timing of event-triggered systems
- An approach to scheduler design for ETCs

On-going/Future work:

- Extension to disturbed systems (To be presented at CDC16)
- Extensions to classes of non-linear systems
- More advanced (decentralized?) scheduling approaches
- Performance optimization through TPGA
- Fault/Attack detection
- Toolbox



Thanks for your attention!

