# Algorithms for Computational Logic <br> Overconstrained Problems 

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(1) Maximum Satisfiability
(2) Modeling Examples
(3) Problems with MaxSAT Solving

4 MaxSAT Algorithms with Iterative Search
(5) Core-Guided MaxSAT
(6) The MaxHS algorithm for MaxSAT Outline

## (1) Maximum Satisfiability

## 2 Modeling Examples

(3) Problems with MaxSAT Solving
(4) MaxSAT Algorithms with Iterative Search
(5) Core-Guided MaxSAT

- Fu\&Malik's Algorithm
- MSU3 Algorithm
(6) The MaxHS algorithm for MaxSAT
Maximum satisfiability

| $x_{6} \vee x_{2}$ | $\neg x_{6} \vee x_{2}$ | $\neg x_{2} \vee x_{1}$ |
| :--- | :--- | :--- |
| $\neg x_{6} \vee x_{8}$ | $x_{6} \vee \neg x_{8}$ | $x_{2} \vee x_{4}$ |
|  | $\neg x_{4} \vee x_{5}$ |  |
|  | $\neg x_{7} \vee x_{5}$ | $\neg x_{5} \vee x_{3}$ |$\quad \neg x_{3}$|  |
| :--- |

- Unsatisfiable formula
- Find largest subset of clauses that is satisfiable: the complement of a minimum-size correction set
- For above example, MaxSAT solution is 2:
- By removing 2 clauses, the remaining are satisfiable

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|  |  | Hard Clauses? |  |
| :---: | :---: | :---: | :---: |
|  |  | No | Yes |
| Weights? | No | Plain | Partial |
|  | Yes | Weighted | Weighted Partial |

- Must satisfy hard clauses, if any
- Compute set of satisfied soft clauses with maximum cost
- Without weights, cost of each falsified soft clause is 1
- Or, compute set of falsified soft clauses with minimum cost
(s.t. hard \& remaining soft clauses are satisfied)
- Note: goal is to compute set of satisfied (or falsified) clauses; not just the cost!
Outline
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- The problem:
- Graph $G=(V, E)$
- Vertex cover $U \subseteq V$
$\star$ For each $\left(v_{i}, v_{j}\right) \in E$, either $v_{i} \in U$ or $v_{j} \in U$
- Minimum vertex cover: vertex cover $U$ of minimum size


> Vertex cover: $\left\{v_{2}, v_{3}, v_{4}\right\}$
> Min vertex cover: $\left\{v_{1}\right\}$

## - Partial MaxSAT formulation:

- Variables: $x_{i}$ for each $v_{i} \in V$, with $x_{i}=1$ iff $v_{i} \in U$
- Hard clauses: $\left(x_{i} \vee x_{j}\right)$ for each $\left(v_{i}, v_{j}\right) \in E$
- Soft clauses: $\left(\neg x_{i}\right)$ for each $v_{i} \in V$
$\star$ I.e. preferable not to include vertices in $U$


$$
\begin{aligned}
\mathcal{F}_{H} & =\left\{\left(x_{1} \vee x_{2}\right),\left(x_{1} \vee x_{3}\right),\left(x_{1} \vee x_{4}\right)\right\} \\
\mathcal{F}_{S} & =\left\{\left(\neg x_{1}\right),\left(\neg x_{2}\right),\left(\neg x_{3}\right),\left(\neg x_{4}\right)\right\}
\end{aligned}
$$

- Hard clauses have cost $\infty$
- Soft clauses have cost 1
- Given undirected graph $G=(V, E)$ :
- A clique is a complete subgraph of $G$, i.e. it is a set $L \subseteq V$ such that $\forall_{u, v \in L}(u \neq v) \rightarrow(u, v) \in E$
- A vertex cover $C \subseteq V$ is such that $\forall(u, v) \in E u \in C \vee v \in C$
- An independent set $I \subseteq V$ is such that $\forall_{u, v \in I}(v, u) \notin E$
- Properties:
- If $I$ is an independent set of $G=(V, E)$, then

$$
\star V-I \text { is a vertex cover of } G
$$

$\star \quad I$ is a clique of the complement graph of $G, G^{C}$

- A maximum independent set of $G$ corresponds to a maximum clique of $G^{C}$


## Independent sets and cliques - examples

- G:
- $G^{C}$ :

- $\left\{v_{1}, v_{2}, v_{3}\right\}$ is clique of $G$ and an independent set of $G^{C}$
- $\left\{v_{4}\right\}$ is a vertex cover of $G^{C}$


$$
\begin{aligned}
& \mathcal{F}_{H} \triangleq\left(\neg x_{1} \vee \neg x_{4}\right) \wedge\left(\neg x_{3} \vee \neg x_{4}\right) \\
& \mathcal{F}_{S} \triangleq\left\{\left(x_{1}\right),\left(x_{2}\right),\left(x_{3}\right),\left(x_{4}\right)\right\}
\end{aligned}
$$

- MaxSAT formulation:
- $x_{i}$ : assigned 1 if $v_{i} \in V$ included in clique
- If $\left\{x_{i}, x_{j}\right\} \notin E$, add hard clause $\left(\neg x_{i} \vee \neg x_{j}\right)$
- Soft clauses $\left(x_{i}\right)$ for $v_{i} \in V$
- Why? Add as many vertices as possible to the clique such that non-adjacent vertices are not both selected


## Correct circuit



Input stimuli: $\langle r, s\rangle=\langle 0,1\rangle$
Valid output: $\langle y, z\rangle=\langle 0,0\rangle$

Faulty circuit


Input stimuli: $\langle r, s\rangle=\langle 0,1\rangle$
Invalid output: $\langle y, z\rangle=\langle 0,0\rangle$

- The model:
- Hard clauses: Input and output values
- Soft clauses: CNF representation of circuit, each gate aggregated in group of clauses
- The problem:
- Maximize number of satisfied clauses (i.e. circuit gates)
- Universe of software packages: $\left\{p_{1}, \ldots, p_{n}\right\}$
- Difference with respect to original installation: $\left\{p_{1}^{\Delta}, \ldots, p_{n}^{\Delta}\right\}$
- Incompatibilies, dependencies and non-regression
- Hard clauses
- Objective: minimize $\sum_{i=1}^{n} p_{i}^{\Delta}$
- Soft clauses $\left(p_{1}^{\Delta}\right) \wedge\left(p_{2}^{\Delta}\right) \wedge \ldots \wedge\left(p_{i}^{\Delta}\right)$



## Many other applications

## - Error localization in C code

- Haplotyping with pedigrees
- Course timetabling
- Combinatorial auctions
- Minimizing Disclosure of Private Information in Credential-Based Interactions
- Reasoning over Biological Networks
- Binate/unate covering
- Haplotype inference
- Digital filter design
- FSM synthesis
[e.g. HS'96]
- Logic minimization
[e.g. HS'96]
- ...
- ... Outline


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## Problems with unit propagation

- Example formula:

$$
\mathcal{F} \triangleq\left(x_{1}\right) \wedge\left(x_{2}\right) \wedge\left(x_{3}\right) \wedge\left(\neg x_{1} \vee \neg x_{2}\right) \wedge\left(\neg x_{1} \vee \neg x_{3}\right)
$$

- Unit propagation falsifies two clauses: $\left(\neg x_{1} \vee \neg x_{2}\right)$ and $\left(\neg x_{1} \vee \neg x_{3}\right)$
- But, the MaxSAT solution is $1 ; \mathcal{S} \subseteq \mathcal{F}$ is satisfiable:

$$
\mathcal{S} \triangleq\left(x_{2}\right) \wedge\left(x_{3}\right) \wedge\left(\neg x_{1} \vee \neg x_{2}\right) \wedge\left(\neg x_{1} \vee \neg x_{3}\right)
$$

- Cannot apply unit propagation when solving MaxSAT
- Cannot apply hallmarks of CDCL SAT solving
- MaxSAT solving requires dedicated algorithms Outline


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- Cost of assignment:
- Sum of weights of falsified clauses

- Optimum solution (OPT):
- Assignment with minimum cost
- Upper Bound (UB):
- Assignment with cost $\geq$ OPT
- E.g. $\sum_{c_{j} \in \mathcal{F}} w_{j}+1$; hard clauses may be inconsistent
- Lower Bound (LB):
- No assignment with cost $\leq$ LB
- E.g. -1 ; it may be possible to satisfy all soft clauses
- Relax each soft clause $c_{j}:\left(c_{j} \vee r_{j}\right)$ (on-demand in core-guided)


## MaxSAT with iterative SAT solving - refine UB



- Worst-case \# of iterations exponential on instance size (\# bits)
- Improvement: use binary search instead
- Many example solvers: Minisat+, SAT4J, QMaxSat
[ES06,LBP10,KZFH12] MaxSAT with iterative SAT solving - complete example


Example CNF formula Rel $1 x$ all clauses; Set $U B=12+1$ Formula is SAT; E.g. all $x_{i}=0$ and $r_{1}=r_{7}=r_{9}=1$ (i.e. cost $=3$ ) Refine $U B=3$ Formula is SAT; E.g. $x_{1}=x_{2}=1$;
$x_{3}=\ldots=$ AtMostk/PB constraints $\quad$ ost $=2$ ) Refine $U B=2$ All (possibly many); terminate MaxSAT sı over all relaxation variables $\quad 3=2$ soft clauses relaxed


- Invariant: $L B_{k} \leq U B_{k}-1$
- Require $\sum w_{i} r_{i} \leq m_{0}$
- Repeat
- If UNSAT, refine $L B_{1}=m_{0}, \ldots$
- Compute new mid value $m_{1}, \ldots$
- If SAT, refine $U B_{3}=m_{2}, \ldots$
- Until $L B_{k}=U B_{k}-1$
- Worst-case \# of iterations linear on instance size


## Branch\&bound MaxSAT algorithm

Input: $\max -\operatorname{sat}(\phi, U B):$ A CNF formula $\phi$ and an upper bound $U B$
1: $\phi \leftarrow$ simplifyFormula $(\phi)$;
2: if $\phi=\emptyset$ or $\phi$ only contains empty clauses then
3: return \#emptyClauses $(\phi)$;
end if
$L B \leftarrow \# e m p t y C l a u s e s(\phi)+$ underestimation $(\phi, U B) ;$
6: if $L B \geq U B$ then
7: return $U B$;
end if
: $x \leftarrow$ select Variable $(\phi)$;
10: $U B \leftarrow \min \left(U B, \max -\operatorname{sat}\left(\phi_{\bar{x}}, U B\right)\right)$;
11: return $\min \left(U B, \max -\operatorname{sat}\left(\phi_{x}, U B\right)\right)$;
[LMP'07]
Output: The minimal number of unsatisfied clauses of $\phi$

- Many techniques for computing lower bounds, i.e. for lower bounding the search


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| $x_{6} \vee x_{2}$ | $\neg x_{6} \vee x_{2}$ | $\neg x_{2} \vee x_{1}$ | $\neg x_{1}$ |
| :---: | :---: | :---: | :---: |
| $\neg x_{6} \vee x_{8}$ | $x_{6} \vee \neg x_{8}$ | $x_{2} \vee x_{4}$ | $\neg x_{4} \vee x_{5}$ |
| $x_{7} \vee x_{5}$ | $\neg x_{7} \vee x_{5}$ | $\neg x_{5} \vee x_{3}$ | $\neg x_{3}$ |

- Goal: Do not relax all clauses
- Why?
$\star$ Some clauses never relevant for computing MaxSAT solution
$\star$ Simplify cardinality/PB constraints
- How to relax clauses on demand?
- Relax clauses given computed unsatisfiable cores
$\star$ Many alternative ways to instrument code-guided algorithms

Fu\&Malik's (FM) core-guided algorithm


Example CNF formula 「 ormula is UNSAT; OPT $\leq|\varphi|-1$; Get unsat co e Add relaxation variables and AtMost1 ionstraint Formula is (a rain) UNSAT; OPT $\leq|\varphi|-2$; Get unsat core | Add new relax | Only AtMost1 | id AtMost | $\begin{array}{c}\text { Some clauses } \\ \text { ance is } n \\ \text { constraints used }\end{array}$ | $\begin{array}{c}\text { Relaxed soft } \\ \text { not relaxed }\end{array}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\varphi \mid-\mathcal{I}=12$ clauses remain soft |  |  |  |  |

Another example

$$
\mathcal{F}_{S} \triangleq\left(x_{1}\right) \wedge\left(\neg x_{1} \vee x_{2}\right) \wedge\left(\neg x_{1} \vee \neg x_{2}\right) \wedge\left(x_{3}\right) \wedge\left(\neg x_{3}\right) \wedge\left(x_{4} \vee \neg x_{5}\right) \wedge\left(\neg x_{4} \vee x_{5}\right)
$$

## MSU3 core-guided algorithm



Example CNF formula 「 ormula is UNSAT; $D P T \leq|\varphi|-1$; Get unsat c re Add relaxation variables and AtMost1 ionstraint Formula is ( ggain) UNSAT; OPT $\leq|\psi|-2$; Get unsat core Add new relay AtMostk/PB id AtMo Some clauses tance is Relaxed soft clauses solution is $|\varphi|-\mathcal{I}=12$ constraints used not relaxed become hard
Another example

$$
\mathcal{F}_{S} \triangleq\left(x_{1}\right) \wedge\left(\neg x_{1} \vee x_{2}\right) \wedge\left(\neg x_{1} \vee \neg x_{2}\right) \wedge\left(x_{3}\right) \wedge\left(\neg x_{3}\right) \wedge\left(x_{4} \vee \neg x_{5}\right) \wedge\left(\neg x_{4} \vee x_{5}\right)
$$

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- Remark 1: The MaxSAT solution is a smallest MCS
- Remark 2: Any MCS is a hitting set of all MUSes
- Approach:
(1) Let $\mathcal{K}$ be a set of unsatisfiable cores (or MUSes)
(2) Find a minimum hitting set $\mathcal{H}$ of the set $\mathcal{K}$ of already computed cores (or MUSes)
(3) Check satistisfability of $\mathcal{F} \backslash \mathcal{H}$
(4) If satisfiable, then $\mathcal{H}$ is a smallest MCS; terminate and return $\mathcal{H}$

5 Otherwise, compute core (or MUS) and add it to $\mathcal{K}$
(6) Loop from 2

- Issue: worst-case number of iterations worst-case exponential on number of clauses
- But, quite effective in practice


## MHS approach for MaxSAT - example



$$
\mathcal{K}=\emptyset
$$



- Find MHS of $\mathcal{K}$ : $\emptyset$
- $\operatorname{SAT}(\mathcal{F} \backslash \emptyset)$ ? No

$$
\mathcal{K}=\left\{\left\{c_{1}, c_{2}, c_{3}, c_{4}\right\},\left\{c_{9}, c_{10}, c_{11}, c_{12}\right\}\right\}
$$

- Core of $\mathcal{F}:\left\{c_{1}, c_{2}, c_{3}, c_{4}\right\}$. Update $\mathcal{K}_{1}$
- Find MHS of $\mathcal{K}$ : E.g. $\left\{c_{1}\right\}$
- Core of $\mathcal{F}:\left\{c_{9}, c_{10}, c_{11}, c_{12}\right\}$. Update $\mathcal{K}$
- Find MHS of $\mathcal{K}$ : E.g. $\left\{c_{1}, c_{9}\right\}$
- $\operatorname{SAT}\left(\mathcal{F} \backslash\left\{c_{1}, c_{9}\right\}\right)$ ? No
- Core of $\mathcal{F}:\left\{c_{3}, c_{4}, c_{7}, c_{8}, c_{11}, c_{12}\right\}$. Update $\mathcal{K}$
- Find MHS of $\mathcal{K}$ : E.g. $\left\{c_{1}, c_{0}\right\}$


## Core Extraction Using CDCL

ıerinnate \& return 2

- Assign the activation literals at a special decision level (-1)
- CDCL fails when finding a contradiction at level 0
- The implication graph must involve some activation literals
- Do clause resolution until the cut contains only activation literals
- The resulting clause is a MUS of the original formula

Level Dec. Unit Prop.


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Algorithm: MAXHS
$\mathcal{K} \emptyset / /$ The MUSs
$\sigma \leftarrow \emptyset / /$ The optimal model
while satisfiability $\neq$ SAT do
$h s \leftarrow$ Find-MinCost-HittingSet( $\mathcal{K})$;
$(s a t, \kappa, \sigma) \leftarrow \operatorname{CDCL}(\mathcal{F} \backslash h s)$; add $\kappa$ to $\mathcal{K}$;
end
return $\sigma$;

- CDCL returns the tuple (sat, $\kappa, \sigma$ ) where:
- sat is in \{SAT, UNSAT, UNKNOWN\}
- $\kappa$ is a MUS
- $\sigma$ is a solution if $=($ SAT $)=$ true


## - Je recrute un postdoc!

- Planification des prises de vue et vidages d'une constellation de satellites d'observation (Projet JAPETUS PROMETHEE, CNES, CNRS, LEANSPACE)


